#### Pt. 60, App. I

equations in section 8, Performance Specification 2, appendix B. Throughout, but only during, the relative accuracy test period the DAFGDS bypass damper shall be partially opened such that there is a detectable flow. 4.8.3-4.8.3.4 [Reserved]

4.9 The total monitoring system required by 4.2 shall be subject only to an annual relative accuracy test audit (RATA) in accordance with the quality assurance requirements of section 5.1.1 of 40 CFR part 60, appendix F. Each SO<sub>2</sub> and diluent CEMs shall be subject to cylinder gas audits (OGA) in accordance with the quality assurance requirements of section 5.1.2 of appendix F with the exception that any SO<sub>2</sub> or diluent CEMs without any type of probe or sample line shall be exempt from the OGA requirements.

#### 5. Recordkeeping Requirements

5.1 The plant owner or operator shall keep a record of each hourly emission rate, each hourly  $SO_2$  CEMs value and hourly flow rate value, and each hourly Btu heat input rate, hourly steam rate, or hourly electrical power output, and a record of each hourly weighted average emission rate. These records shall be kept for all periods of operation of Unit 1 or 2 under provisions of 60.43(e), including operations of Unit 1 (E1) during periods of DAFGDS startup, shutdown, and malfunction when H1 and E1 are assumed to be zero (0) (see 4.5).

5.2 The plant owner or operator shall keep a record of each hourly gas flow rate through the DAFGDS stack, each hourly stack gas flow rate through the bypass stack during any periods that the DAFGDS bypass damper is opened or flow is indicated, and reason for bypass operation.

#### 6. Reporting Requirements

6.1 The owner or operator of any affected facility shall submit the written reports required under 6.2 of this section and subpart to the Regional Administrator of the USEPA, Region 5 and the Director, of the IEPA for every calendar quarter. All quarterly reports shall be submitted by the 30th day following the end of each calendar quarter.

6.2 For sulfur dioxide, the following data resubmitted to the Regional Administrator of the USEPA, Region 5 and the Director, of the IEPA for each 24-hour period:

6.2.1 Calendar date

6.2.2 The combined average sulfur dioxide emission rate (ng/J or lb/million Btu) for the past 30 successive boiler operating days (ending with the last 30-day period in the quarter); and, for any noncompliance periods, reasons for noncompliance with the emission standards and description of corrective action taken.

6.2.3 Identification of the boiler operating days for which valid sulfur dioxide emissions

data required by 4.6 have not been obtained for 75 percent of the boiler operating hours; reasons for not obtaining sufficient data; and description of corrective actions taken to prevent recurrence.

6.2.4 Identification of the time periods (hours) when Unit 1 or Unit 2 were operated but combined hourly emission rates (EC) were not calculated because of the unavailability of parameters E1, E2, H1, or H2 as described in 3.2.

6.2.5 Identification of the time periods (hours) when Unit 1 and Unit 2 were operated and where the combined hourly emission rate (EC) equalled Unit 2 (E2/H2) emissions because of the Unit 1 malfunction provisions under 3.5.3, and 3.5.4.

6.2.6 Identification of the time periods (hours) when emissions from the Unit 1 DAFGDS have been excluded from the calculation of average sulfur dioxide emission rates because of Unit 1 DAFGDS startup, shutdown, malfunction, or other reasons; and justification for excluding data for reasons other than startup or shutdown. Reporting of hourly emission rate of Unit 1 (E1/H2) during each hour of the DAFGDS startup, malfunction under 3.5.1, 3.5.2, 3.5.3, and 3.5.4 (see 4.5).

6.2.7 Identification of the number of days in the calendar quarter that the affected facility was operated (any fuel fired).

6.2.8 Identify any periods where Unit 1 DAFGDS malfunctions occurred and the cumulative hours of Unit 1 DAFGDS malfunction for the quarter.

6.2.9 Identify any periods of time that any exhaust gases were discharged to the DAFGDS bypass stack and the hourly gas flow rate through the DAFGDS stack and through the DAFGDS bypass stack during such periods and reason for bypass operation. 6.2.10 [Reserved]

[52 FR 28955, Aug. 4, 1987, as amended at 58 FR 28785, May 17, 1993; 59 FR 8135, Feb. 18, 1994]

# APPENDIX H TO PART 60 [RESERVED]

APPENDIX I TO PART 60—OWNER'S MANUALS AND TEMPORARY LABELS FOR WOOD HEATERS SUBJECT TO SUBPARTS AAA AND QQQQ OF PART 60

#### 1. Introduction

The purpose of this appendix is to provide specific instructions and examples to manufacturers for compliance with the owner's manual provisions of subparts AAA and QQQQ of this part.

- 2. Instructions for Preparation of Wood Heater Owner's Manuals
- 2.1 Introduction

#### **Environmental Protection Agency**

Although the owner's manuals do not require premarket approval, EPA will monitor the contents to ensure that sufficient information is included to provide heater proper operation and maintenance information affecting emissions to consumers. The manufacturer must make current and historical owner's manuals available on the company Web site and upon request to the EPA. The purpose of this section is to provide instructions to manufacturers for compliance with the owner's manual provisions of §60.536(g) of subpart AAA that applies to wood heaters and §60.5478(f) of subpart QQQQ that applies to hydronic heaters and forced-air furnaces. A checklist of topics and illustrative language is provided as instructions. Owner's manuals should be tailored to specific wood heater models, as appropriate.

# 2.2 Topics Required To Be Addressed in Owner's Manual

- (a) Wood heater description and compliance status:
  - (b) Tamper warnings;
- (c) Overall heater warranty information and catalyst information and warranty (if catalyst-equipped);
  - (d) Fuel selection;
- (e) Achieving and maintaining catalyst light-off (if catalyst-equipped);
- $\begin{array}{ccc} \hbox{$(f)$} & \hbox{Catalyst} & \hbox{monitoring} & \hbox{$(if$} & \hbox{catalyst-equipped);} \end{array}$
- (g) Troubleshooting catalytic-equipped heaters (if catalyst-equipped);
- $\begin{array}{ccc} \hbox{(h)} & \hbox{Catalyst} & \hbox{replacement} & \hbox{(if} & \hbox{catalyst-equipped);} \\ \end{array}$
- (i) Wood heater proper operation and maintenance, including minimizing visible emissions;
- (j) Wood heater proper installation, including location, stack height and achieving proper draft;
- (k) Use of smoke detectors and carbon monoxide monitors; and
  - (1) Efficiency.

#### 2.3 Sample Text/Descriptions

- (a) The following are example texts and/or further descriptions illustrating the topics identified above. Although the regulation requires manufacturers to address (where applicable) the 10 topics identified above, the exact language is not specified. Manuals should be written specific to the model and design of the wood heater. The following instructions are composed of generic descriptions and texts.
- (b) If manufacturers choose to use the language provided in the example, the portion in italics should be revised as appropriate. Any manufacturer electing to use the EPA example language will be considered to be in compliance with owner's manual requirements provided that the particular language

is printed in full with only such changes as are necessary to ensure accuracy.

Example language is not provided for certain topics, since these areas are generally heater specific. For these topics, manufacturers should develop text that is specific to the proper operation and maintenance of their particular products.

#### 2.3.1 Wood Heater Description and Compliance Status

Owner's manuals must include:

- (a) Manufacturer and model;
- (b) Compliance status (2015 standard, 2016 standard, 2017 standard, 2020 standard, crib wood standard or cord wood alternative standard, last allowable sell date, etc.); and (c) Heat output range.

Exhibit 1—Example Text covering 2.3.1(a), (b), and (c) of this appendix:

"This manual describes the installation and operation of the Brand X, Model 0 catalytic equipped wood heater. This heater meets the 2015 U.S. Environmental Protection Agency's crib wood emission limits for wood heaters sold after May 15, 2015. Under specific test conditions this heater has been shown to deliver heat at rates ranging from 8,000 to 35,000 Btu/hr."

#### 2.3.2 Tamper Warnings

(a) The following statement must be included in the owner's manual for all units:

"This wood heater has a manufacturer-set minimum low burn rate that must not be altered. It is against federal regulations to alter this setting or otherwise operate this wood heater in a manner inconsistent with operating instructions in this manual."

(b) The following statement must be included in the owner's manual for catalyst-equipped units:

"This wood heater contains a catalytic combustor, which needs periodic inspection and replacement for proper operation. It is against federal regulations to operate this wood heater in a manner inconsistent with operating instructions in this manual, or if the catalytic element is deactivated or removed."

#### 2.3.3 Overall Heater Warranty Information and Catalyst Information and Warranty (if catalyst-equipped)

The following information must be included with or supplied in the owner's and warranty manuals:

- (a) Manufacturer and model, including catalyst if catalyst-equipped;
- (b) Warranty details, including catalyst if catalyst-equipped; and
- (c) Instructions for warranty claims.

Exhibit 2—Example Text covering 2.3.3(a), (b), and (c) of this appendix for catalysts:

"The combustor supplied with this heater is a  $Brand\ Z$ ,  $Long\ Life\ Combustor$ . Consult

#### Pt. 60, App. I

the catalytic combustor warranty also supplied with this wood heater. Warranty claims should be addressed to:

Stove	or	Catal	vst.	Man	nfa.c	turer

Address		
Phone #		,,

2.3.3.1 This section should also provide clear instructions on how to exercise the warranty (how to package parts for return shipment, etc.).

2.3.4 Fuel Selection

Owner's manuals must include:

- (a) Instructions on acceptable fuels;
- (b) Warning against inappropriate fuels;
- (c) How to determine seasoned wood compared to unseasoned wood, how to use moisture meters and other techniques and the importance of seasoned wood.

Exhibit 3—Example Text covering 2.3.4(a) and (b) of this appendix:

"This heater is designed to burn natural wood only. Higher efficiencies and lower emissions generally result when burning air dried seasoned hardwoods, as compared to softwoods or to green or freshly cut hardwoods. DO NOT BURN:

- (1) Garbage;
- (2) Lawn clippings or yard waste;
- (3) Materials containing rubber, including tires:
  - (4) Materials containing plastic;
- (5) Waste petroleum products, paints or paint thinners, or asphalt products;
  - (6) Materials containing asbestos;
  - (7) Construction or demolition debris:
  - (8) Railroad ties or pressure-treated wood;
  - (9) Manure or animal remains;
- (10) Salt water driftwood or other previously salt water saturated materials;
- (11) Unseasoned wood; or
- (12) Paper products, cardboard, plywood, or particleboard. The prohibition against burning these materials does not prohibit the use of fire starters made from paper, cardboard, saw dust, wax and similar substances for the purpose of starting a fire in an affected wood heater.

Burning these materials may result in release of toxic fumes or render the heater ineffective and cause smoke."

#### 2.3.5 Achieving and Maintaining Catalyst Light-Off

Owner's manuals must describe in detail proper procedures for:

- (a) Operation of catalyst bypass (stove specific):
- (b) Achieving catalyst light-off from a cold start; and
- (c) Achieving catalyst light-off when refueling.
- 2.3.5.1 No example text is supplied for describing operation of catalyst bypass mecha-

nisms (Item 2.3.5(a) of this appendix) since these are typically stove-specific. Manufacturers must provide instructions specific to their model describing:

- (1) Bypass position during startup;
- (2) Bypass position during normal operation; and
- (3) Bypass position during reloading.

Exhibit 4—Example Text for Item 2.3.5(b) of this appendix:

"The temperature in the stove and the gases entering the combustor must be raised to between 500° to 700°F for catalytic activity to be initiated. During the startup of a cold stove, a medium to high firing rate must be maintained for about 20 minutes. This ensures that the stove, catalyst, and fuel are all stabilized at proper operating temperatures. Even though it is possible to have gas temperatures reach 600°F within 2 to 3 minutes after a fire is started, if the fire is allowed to die down immediately, it may go out or the combustor may stop working. Once the combustor starts working, heat generated in it by burning the smoke will keep it working."

Exhibit 5—Example Text for Item 2.3.5(c) of this appendix:

"REFUELING: During the refueling and rekindling of a cool fire, or a fire that has burned down to the charcoal phase, operate the stove at a medium to high firing rate for about 10 minutes to ensure that the catalyst reaches approximately 600 °F."

#### 2.3.6 Catalyst Monitoring

Owner's manuals must include:

- (a) Recommendation to visually inspect combustor at least three times during the heating season;
- (b) Discussion on expected combustor temperatures for monitor-equipped units; and
- (c) Suggested monitoring and inspection techniques and importance of ensuring catalyst is operating properly.

Exhibit 6—Example Text covering 2.3.6(a), (b) and (c) of this appendix:

- "It is important to periodically monitor the operation of the catalytic combustor to ensure that it is functioning properly and to determine when it needs to be replaced. A non-functioning combustor will result in a loss of heating efficiency, and an increase in creosote and emissions. Following is a list of items that should be checked on a periodic basis:
- Combustors should be visually inspected at least three times during the heating season to determine if physical degradation has occurred. Actual removal of the combustor is not recommended unless more detailed inspection is warranted because of decreased performance. If any of these conditions exists, refer to Catalyst Troubleshooting section of this owner's manual.

#### **Environmental Protection Agency**

- This catalytic (or hybrid) heater is equipped with a temperature probe to monitor catalyst operation. Properly functioning combustors typically maintain temperatures in excess of 500 °F, and often reach temperatures in excess of 1,000 °F. If catalyst temperatures are not in excess of 500 °F, refer to Catalyst Troubleshooting section of this owner's manual.
- You can get an indication of whether the catalyst is working by comparing the amount of smoke leaving the chimney when the smoke is going through the combustor and catalyst light-off has been achieved, to the amount of smoke leaving the chimney when the smoke is not routed through the combustor (bypass mode).

Step 1—Light stove in accordance with instructions in section 3.3.5.

Step 2—With smoke routed through the catalyst, go outside and observe the emissions leaving the chimney.

Step 3—Engage the bypass mechanism and again observe the emissions leaving the chimney.

Significantly more smoke will be seen when the exhaust is not routed through the combustor (bypass mode)."

# 2.3.7 Catalyst Troubleshooting

The owner's manual must provide clear descriptions of symptoms and remedies to common combustor problems and importance. It is recommended that photographs of catalyst peeling, plugging, thermal cracking, mechanical cracking, and masking be included in the manual to aid the consumer in identifying problems and to provide direction for corrective action.

#### 2.3.8 Catalyst Replacement

The owner's manual must provide clear step-by-step instructions on how to remove and replace the catalytic combustor. The section should include diagrams and/or photographs.

#### 2.3.9 Wood Heater Proper Operation and Maintenance

The owner's manual must provide clear descriptions of symptoms and remedies to common heater problems and importance. The owner's manual information must be adequate to enable consumers to achieve optimal emissions performance. Such information must be consistent with the operating instructions provided by the manufacturer to the approved test laboratory for operating the wood heater during certification testing, except for details of the certification test that would not be relevant to the user.

Owner's manual must include:

(a) Recommendations about building and maintaining a fire, especially for cold starts and the effectiveness of the top-down approach for starting fires;

- (b) Instruction on proper use of air controls, including how to establish good combustion and how to ensure good combustion at the lowest burn rate for which the heater is warranted:
  - (c) Ash removal and disposal;
- (d) Instruction replacement of gaskets, air tubes and other parts that are critical to the emissions performance of the unit, and other maintenance and repair instructions;
  - (e) Warning against overfiring; and
- (f) Suggested monitoring and inspection techniques and importance of ensuring heater is operating properly, including ensuring visible emissions are minimized.
- 2.3.9.1 No example text is supplied for 2.3.9(a), (b), (d) and (f) of this appendix since these items are model specific. Manufacturers should provide detailed instructions on building and maintaining a fire including selection of fuel pieces, fuel quantity and stacking arrangement. Manufacturers should also provide instruction on proper air settings (both primary and secondary) for attaining minimum and maximum heat outputs and any special instructions for operating thermostatic controls. Step-by-step instructions on inspection and replacement of gaskets should also be included. Manufacturers should provide diagrams and/or photographs to assist the consumer. Gasket type and size should be specified.

Exhibit 7—Example Text for Item 2.3.9(c) of this appendix:

"Whenever ashes get 3 to 4 inches deep in your firebox or ash pan, and when the fire has burned down and cooled, remove excess ashes. Leave an ash bed approximately 1 inch deep on the firebox bottom to help maintain a hot charcoal bed."

"Ashes should be placed in a metal container with a tight-fitting lid. The closed container of ashes should be placed on a noncombustible floor or on the ground, away from all combustible materials, pending final disposal. The ashes should be retained in the closed container until all cinders have thoroughly cooled."

Exhibit 8—Example Text covering Item  $2.3.9(\mbox{e})$  of this appendix:

#### "DO NOT OVERFIRE THIS HEATER"

"Attempts to achieve heat output rates that exceed heater design specifications can result in permanent damage to the heater and to the catalytic combustor if so equipped."

2.3.10 Wood Heater Installation, Including Stack Height, Heater Locations and Achieving Proper Draft

Owner's manual must include:

- (a) Importance of proper draft:
- (b) Conditions indicating inadequate draft;(c) Conditions indicating excessive draft;and

#### Pt. 60, App. I

(d) Guidance on proper stack height and proper heater locations, *i.e.*, not too close to neighbors or in valleys that would cause unhealthy air quality or nuisance conditions.

2.3.10.1 No example text is supplied for (d) because state, local and tribal requirements are model and location specific.

Exhibit 9—Example Text for Item (a):

"Draft is the force which moves air from the appliance up through the chimney. The amount of draft in your chimney depends on the length of the chimney, local geography, nearby obstructions and other factors. Too much draft may cause excessive temperatures in the appliance and may damage the catalytic combustor. Inadequate draft may cause backpuffing into the room and 'plugging' of the chimney or the catalyst."

Exhibit 10—Example Text for Item (b):

"Inadequate draft will cause the appliance to leak smoke into the room through appliance and chimney connector joints."

Exhibit 11—Example Text for Item (c):

"An uncontrollable burn or excessive temperature indicates excessive draft."

#### 2.3.11 Efficiency

Owner's manual must include:

- (a) Description of how the efficiency was determined, e.g., use higher heating value of the fuel instead of lower heating value of the fuel, discuss sweet spot versus annual average versus annual fuel usage efficiency (AFUE);
- (b) How operation and fuels affect efficiency, e.g., seasoned wood versus high moisture fuel; operation at sweet spot versus lowburn rates; and
- (c) How location affects the efficiency, e.g., in main living area versus basement versus outdoors in sub-freezing temperatures.

2.3.12 Smoke and Carbon Monoxide Emissions and Monitors

Owner's manual must include:

- (a) Discussion of smoke and carbon monoxide (CO) emissions, including the CO data submitted in the certification application and expected variations for different operating conditions;
- (b) Recommendation to have smoke monitors; and
- (c) Recommendation to have monitors for areas that are expected to generate CO, *e.g.*, heater fueling areas, pellet fuel bulk storage areas, sheds containing hydronic heaters.
- 3. Instructions for Preparation of Wood Heater Temporary Labels
- 3.1 Temporary labels that show the values for emissions, efficiency, recommended heating area and the compliance status may (voluntarily) be affixed by the manufacturer to wood heaters that meet the 2020 particulate matter emission standards early or that meet the cord wood alternative compliance options in subparts AAA and QQQQ of this part.
- 3.2 The seller of each heater covered by section 3.1 may ensure that the temporary label remains affixed until each heater is purchased by the end user.
- 3.3 The temporary label option for the 2020 particulate matter emission standards end as of May 15, 2020.
- 3.4 The template for the temporary labels will be supplied by the Administrator upon request.

 $[80~{\rm FR}~13751,\,{\rm Mar}.~16,\,2015]$ 

# FINDING AIDS

A list of CFR titles, subtitles, chapters, subchapters and parts and an alphabetical list of agencies publishing in the CFR are included in the CFR Index and Finding Aids volume to the Code of Federal Regulations which is published separately and revised annually.

Table of CFR Titles and Chapters Alphabetical List of Agencies Appearing in the CFR List of CFR sections Affected

# Table of CFR Titles and Chapters

(Revised as of July 1, 2019)

# Title 1—General Provisions

- I Administrative Committee of the Federal Register (Parts 1—49)
- II Office of the Federal Register (Parts 50—299)
- III Administrative Conference of the United States (Parts 300—399)
- IV Miscellaneous Agencies (Parts 400—599)
- VI National Capital Planning Commission (Parts 600—699)

#### Title 2—Grants and Agreements

- SUBTITLE A—OFFICE OF MANAGEMENT AND BUDGET GUIDANCE FOR GRANTS AND AGREEMENTS
- I Office of Management and Budget Governmentwide Guidance for Grants and Agreements (Parts 2—199)
- II Office of Management and Budget Guidance (Parts 200—299)
  SUBTITLE B—FEDERAL AGENCY REGULATIONS FOR GRANTS AND AGREEMENTS
- III Department of Health and Human Services (Parts 300—399)
- IV Department of Agriculture (Parts 400—499)
- VI Department of State (Parts 600—699)
- VII Agency for International Development (Parts 700—799)
- VIII Department of Veterans Affairs (Parts 800—899)
  - IX Department of Energy (Parts 900—999)
  - X Department of the Treasury (Parts 1000—1099)
  - XI Department of Defense (Parts 1100—1199)
- XII Department of Transportation (Parts 1200—1299)
- XIII Department of Commerce (Parts 1300—1399)
- XIV Department of the Interior (Parts 1400—1499)
- XV Environmental Protection Agency (Parts 1500—1599)
- XVIII National Aeronautics and Space Administration (Parts 1800—1899)
  - XX United States Nuclear Regulatory Commission (Parts 2000—2099)
- XXII Corporation for National and Community Service (Parts 2200—2299)
- XXIII Social Security Administration (Parts 2300—2399)
- XXIV Department of Housing and Urban Development (Parts 2400—2499)
- XXV National Science Foundation (Parts 2500—2599)
- XXVI National Archives and Records Administration (Parts 2600—2699)

# Title 2—Grants and Agreements—Continued

Chap.

- XXVII Small Business Administration (Parts 2700—2799)
- XXVIII Department of Justice (Parts 2800—2899)
- XXIX Department of Labor (Parts 2900—2999)
- XXX Department of Homeland Security (Parts 3000—3099)
- XXXI Institute of Museum and Library Services (Parts 3100—3199)
- XXXII National Endowment for the Arts (Parts 3200—3299)
- XXXIII National Endowment for the Humanities (Parts 3300—3399)
- XXXIV Department of Education (Parts 3400—3499)
- XXXV Export-Import Bank of the United States (Parts 3500—3599)
- XXXVI Office of National Drug Control Policy, Executive Office of the President (Parts 3600—3699)
- XXXVII Peace Corps (Parts 3700—3799)
  - LVIII Election Assistance Commission (Parts 5800—5899)
    - LIX Gulf Coast Ecosystem Restoration Council (Parts 5900—5999)

# Title 3—The President

I Executive Office of the President (Parts 100—199)

#### Title 4—Accounts

I Government Accountability Office (Parts 1—199)

# Title 5—Administrative Personnel

- I Office of Personnel Management (Parts 1—1199)
- II Merit Systems Protection Board (Parts 1200—1299)
- III Office of Management and Budget (Parts 1300—1399)
- IV Office of Personnel Management and Office of the Director of National Intelligence (Parts 1400—1499)
- V The International Organizations Employees Loyalty Board (Parts 1500—1599)
- VI Federal Retirement Thrift Investment Board (Parts 1600—1699)
- VIII Office of Special Counsel (Parts 1800—1899)
- $IX \quad Appalachian \ Regional \ Commission \ (Parts \ 1900-1999)$
- XI Armed Forces Retirement Home (Parts 2100—2199)
- XIV Federal Labor Relations Authority, General Counsel of the Federal Labor Relations Authority and Federal Service Impasses Panel (Parts 2400—2499)
- XVI Office of Government Ethics (Parts 2600—2699)
- XXI Department of the Treasury (Parts 3100—3199)
- XXII Federal Deposit Insurance Corporation (Parts 3200—3299)
- XXIII Department of Energy (Parts 3300—3399)
- XXIV Federal Energy Regulatory Commission (Parts 3400—3499)
- $XXV \quad \ \, \text{Department of the Interior (Parts 3500-3599)}$
- $XXVI \quad \ Department \ of \ Defense \ (Parts \ 3600 3699)$

# Title 5—Administrative Personnel—Continued

- 1	7	h	0	r

- XXVIII Department of Justice (Parts 3800—3899)
- XXIX Federal Communications Commission (Parts 3900—3999)
- XXX Farm Credit System Insurance Corporation (Parts 4000—4099)
- XXXI Farm Credit Administration (Parts 4100—4199)
- XXXIII Overseas Private Investment Corporation (Parts 4300—4399)
- XXXIV Securities and Exchange Commission (Parts 4400—4499)
- $XXXV \quad \ \, Office \ of \ Personnel \ Management \ (Parts \ 4500-4599)$
- XXXVI Department of Homeland Security (Parts 4600—4699)
- XXXVII Federal Election Commission (Parts 4700—4799)
  - XL Interstate Commerce Commission (Parts 5000—5099)
  - XLI Commodity Futures Trading Commission (Parts 5100—5199)
  - XLII Department of Labor (Parts 5200-5299)
  - XLIII National Science Foundation (Parts 5300-5399)
  - XLV Department of Health and Human Services (Parts 5500—5599)
  - XLVI Postal Rate Commission (Parts 5600—5699)
  - XLVII Federal Trade Commission (Parts 5700—5799)
- XLVIII Nuclear Regulatory Commission (Parts 5800—5899)
  - XLIX Federal Labor Relations Authority (Parts 5900—5999)
    - L Department of Transportation (Parts 6000—6099)
    - LII Export-Import Bank of the United States (Parts 6200—6299)
  - LIII Department of Education (Parts 6300—6399)
  - LIV Environmental Protection Agency (Parts 6400—6499)
  - LV National Endowment for the Arts (Parts 6500—6599)
  - LVI National Endowment for the Humanities (Parts 6600—6699)
  - LVII General Services Administration (Parts 6700—6799)
- LVIII Board of Governors of the Federal Reserve System (Parts 6800—6899)
  - LIX National Aeronautics and Space Administration (Parts 6900—6999)
  - LX United States Postal Service (Parts 7000—7099)
  - LXI National Labor Relations Board (Parts 7100—7199)
- LXII Equal Employment Opportunity Commission (Parts 7200—7299)
- LXIII Inter-American Foundation (Parts 7300—7399)
- LXIV Merit Systems Protection Board (Parts 7400—7499)
- LXV Department of Housing and Urban Development (Parts 7500—7599)
- LXVI National Archives and Records Administration (Parts 7600—7699)
- LXVII Institute of Museum and Library Services (Parts 7700—7799)
- LXVIII Commission on Civil Rights (Parts 7800—7899)
  - $LXIX \quad Tennessee \ Valley \ Authority \ (Parts \ 7900-7999)$
  - LXX Court Services and Offender Supervision Agency for the District of Columbia (Parts 8000—8099)
- LXXI Consumer Product Safety Commission (Parts 8100—8199)
- LXXIII Department of Agriculture (Parts 8300—8399)

# Title 5—Administrative Personnel—Continued

Chap

- LXXIV Federal Mine Safety and Health Review Commission (Parts 8400—8499)
- LXXVI Federal Retirement Thrift Investment Board (Parts 8600—8699)
- LXXVII Office of Management and Budget (Parts 8700-8799)
  - LXXX Federal Housing Finance Agency (Parts 9000—9099)
- LXXXIII Special Inspector General for Afghanistan Reconstruction (Parts 9300—9399)
- LXXXIV Bureau of Consumer Financial Protection (Parts 9400—9499)
- LXXXVI National Credit Union Administration (Parts 9600—9699)
- XCVII Department of Homeland Security Human Resources Management System (Department of Homeland Security—Office of Personnel Management) (Parts 9700—9799)
- XCVIII Council of the Inspectors General on Integrity and Efficiency (Parts 9800—9899)
- XCIX Military Compensation and Retirement Modernization Commission (Parts 9900—9999)
  - C National Council on Disability (Parts 10000—10049)
  - CI National Mediation Board (Part 10101)

# Title 6—Domestic Security

- I Department of Homeland Security, Office of the Secretary (Parts 1—199)
- X Privacy and Civil Liberties Oversight Board (Parts 1000—1099)

# Title 7—Agriculture

- Subtitle A—Office of the Secretary of Agriculture (Parts 0—26)
- SUBTITLE B—REGULATIONS OF THE DEPARTMENT OF AGRICULTURE
- I Agricultural Marketing Service (Standards, Inspections, Marketing Practices), Department of Agriculture (Parts 27—209)
- II Food and Nutrition Service, Department of Agriculture (Parts 210—299)
- III Animal and Plant Health Inspection Service, Department of Agriculture (Parts 300—399)
- IV Federal Crop Insurance Corporation, Department of Agriculture (Parts 400-499)
- V Agricultural Research Service, Department of Agriculture (Parts 500—599)
- VI Natural Resources Conservation Service, Department of Agriculture (Parts 600—699)
- VII Farm Service Agency, Department of Agriculture (Parts 700—799)
- VIII Grain Inspection, Packers and Stockyards Administration (Federal Grain Inspection Service), Department of Agriculture (Parts 800—899)

# Title 7—Agriculture—Continued

Chap.

- IX Agricultural Marketing Service (Marketing Agreements and Orders; Fruits, Vegetables, Nuts), Department of Agriculture (Parts 900—999)
- X Agricultural Marketing Service (Marketing Agreements and Orders; Milk), Department of Agriculture (Parts 1000—1199)
- XI Agricultural Marketing Service (Marketing Agreements and Orders; Miscellaneous Commodities), Department of Agriculture (Parts 1200—1299)
- XIV Commodity Credit Corporation, Department of Agriculture (Parts 1400—1499)
- XV Foreign Agricultural Service, Department of Agriculture (Parts 1500—1599)
- XVI Rural Telephone Bank, Department of Agriculture (Parts 1600—1699)
- XVII Rural Utilities Service, Department of Agriculture (Parts 1700—1799)
- XVIII Rural Housing Service, Rural Business-Cooperative Service, Rural Utilities Service, and Farm Service Agency, Department of Agriculture (Parts 1800—2099)
  - XX Local Television Loan Guarantee Board (Parts 2200—2299)
- XXV Office of Advocacy and Outreach, Department of Agriculture (Parts 2500—2599)
- XXVI Office of Inspector General, Department of Agriculture (Parts 2600—2699)
- XXVII Office of Information Resources Management, Department of Agriculture (Parts 2700—2799)
- XXVIII Office of Operations, Department of Agriculture (Parts 2800—2899)
- XXIX Office of Energy Policy and New Uses, Department of Agriculture (Parts 2900—2999)
- XXX Office of the Chief Financial Officer, Department of Agriculture (Parts 3000—3099)
- XXXI Office of Environmental Quality, Department of Agriculture (Parts 3100—3199)
- XXXII Office of Procurement and Property Management, Department of Agriculture (Parts 3200—3299)
- XXXIII Office of Transportation, Department of Agriculture (Parts 3300—3399)
- XXXIV National Institute of Food and Agriculture (Parts 3400-3499)
- XXXV Rural Housing Service, Department of Agriculture (Parts 3500—3599)
- XXXVI National Agricultural Statistics Service, Department of Agriculture (Parts 3600—3699)
- XXXVII Economic Research Service, Department of Agriculture (Parts 3700—3799)
- XXXVIII World Agricultural Outlook Board, Department of Agriculture (Parts 3800—3899)
  - XLI [Reserved]
  - XLII Rural Business-Cooperative Service and Rural Utilities Service, Department of Agriculture (Parts 4200—4299)

# Title 8—Aliens and Nationality

Chap.

- I Department of Homeland Security (Parts 1—499)
- V Executive Office for Immigration Review, Department of Justice (Parts 1000—1399)

# Title 9—Animals and Animal Products

- I Animal and Plant Health Inspection Service, Department of Agriculture (Parts 1—199)
- II Grain Inspection, Packers and Stockyards Administration (Packers and Stockyards Programs), Department of Agriculture (Parts 200—299)
- III Food Safety and Inspection Service, Department of Agriculture (Parts 300-599)

# Title 10—Energy

- I Nuclear Regulatory Commission (Parts 0—199)
- II Department of Energy (Parts 200—699)
- III Department of Energy (Parts 700—999)
- X Department of Energy (General Provisions) (Parts 1000—1099)
- XIII Nuclear Waste Technical Review Board (Parts 1300—1399)
- XVII Defense Nuclear Facilities Safety Board (Parts 1700—1799)
- XVIII Northeast Interstate Low-Level Radioactive Waste Commission (Parts 1800-1899)

# Title 11—Federal Elections

- I Federal Election Commission (Parts 1—9099)
- II Election Assistance Commission (Parts 9400—9499)

# Title 12—Banks and Banking

- I Comptroller of the Currency, Department of the Treasury (Parts 1—199)
- II Federal Reserve System (Parts 200—299)
- III Federal Deposit Insurance Corporation (Parts 300—399)
- IV Export-Import Bank of the United States (Parts 400—499)
- V (Parts 500—599) [Reserved]
- VI Farm Credit Administration (Parts 600—699)
- VII National Credit Union Administration (Parts 700—799)
- VIII Federal Financing Bank (Parts 800—899)
  - IX Federal Housing Finance Board (Parts 900—999)
  - X Bureau of Consumer Financial Protection (Parts 1000—1099)
  - XI Federal Financial Institutions Examination Council (Parts 1100-1199)
- $XII \quad \ \, \text{Federal Housing Finance Agency (Parts 1200-1299)} \\$
- $XIII \quad \ \ Financial \ Stability \ Oversight \ Council \ (Parts \ 1300-1399)$

# Title 12—Banks and Banking—Continued

Chap.

- XIV Farm Credit System Insurance Corporation (Parts 1400—1499)
- XV Department of the Treasury (Parts 1500—1599)
- XVI Office of Financial Research (Parts 1600—1699)
- XVII Office of Federal Housing Enterprise Oversight, Department of Housing and Urban Development (Parts 1700—1799)
- XVIII Community Development Financial Institutions Fund, Department of the Treasury (Parts 1800—1899)

#### Title 13—Business Credit and Assistance

- I Small Business Administration (Parts 1—199)
- III Economic Development Administration, Department of Commerce (Parts 300—399)
- IV Emergency Steel Guarantee Loan Board (Parts 400—499)
- V Emergency Oil and Gas Guaranteed Loan Board (Parts 500—599)

# Title 14—Aeronautics and Space

- I Federal Aviation Administration, Department of Transportation (Parts 1—199)
- II Office of the Secretary, Department of Transportation (Aviation Proceedings) (Parts 200—399)
- III Commercial Space Transportation, Federal Aviation Administration, Department of Transportation (Parts 400—1199)
- V National Aeronautics and Space Administration (Parts 1200—1299)
- VI Air Transportation System Stabilization (Parts 1300—1399)

# Title 15—Commerce and Foreign Trade

- Subtitle A—Office of the Secretary of Commerce (Parts 0—29)
- SUBTITLE B—REGULATIONS RELATING TO COMMERCE AND FOREIGN TRADE
- I Bureau of the Census, Department of Commerce (Parts 30—199)
- II National Institute of Standards and Technology, Department of Commerce (Parts 200—299)
- III International Trade Administration, Department of Commerce (Parts 300—399)
- IV Foreign-Trade Zones Board, Department of Commerce (Parts 400-499)
- VII Bureau of Industry and Security, Department of Commerce (Parts 700-799)
- VIII Bureau of Economic Analysis, Department of Commerce (Parts 800—899)
- IX National Oceanic and Atmospheric Administration, Department of Commerce (Parts 900—999)
- XI National Technical Information Service, Department of Commerce (Parts 1100—1199)

# Title 15—Commerce and Foreign Trade—Continued

- XIII East-West Foreign Trade Board (Parts 1300—1399)
- XIV Minority Business Development Agency (Parts 1400—1499)
  - Subtitle C—Regulations Relating to Foreign Trade Agreements
- XX Office of the United States Trade Representative (Parts 2000—2099)
  - SUBTITLE D—REGULATIONS RELATING TO TELECOMMUNICATIONS AND INFORMATION
- XXIII National Telecommunications and Information Administration,
  Department of Commerce (Parts 2300—2399) [Reserved]

#### Title 16—Commercial Practices

- I Federal Trade Commission (Parts 0—999)
- II Consumer Product Safety Commission (Parts 1000—1799)

# Title 17—Commodity and Securities Exchanges

- I Commodity Futures Trading Commission (Parts 1—199)
- II Securities and Exchange Commission (Parts 200—399)
- IV Department of the Treasury (Parts 400—499)

# Title 18—Conservation of Power and Water Resources

- I Federal Energy Regulatory Commission, Department of Energy (Parts 1—399)
- $III \quad \ Delaware \ River \ Basin \ Commission \ (Parts \ 400-499)$
- VI Water Resources Council (Parts 700—799)
- VIII Susquehanna River Basin Commission (Parts 800—899)
- XIII Tennessee Valley Authority (Parts 1300—1399)

# Title 19—Customs Duties

- I U.S. Customs and Border Protection, Department of Homeland Security; Department of the Treasury (Parts 0—199)
- II United States International Trade Commission (Parts 200—299)
- III International Trade Administration, Department of Commerce (Parts 300—399)
- IV U.S. Immigration and Customs Enforcement, Department of Homeland Security (Parts 400—599) [Reserved]

#### Title 20—Employees' Benefits

- I Office of Workers' Compensation Programs, Department of Labor (Parts 1—199)
- II Railroad Retirement Board (Parts 200—399)
- III Social Security Administration (Parts 400—499)

# Title 20—Employees' Benefits—Continued

Chap.

- IV Employees' Compensation Appeals Board, Department of Labor (Parts 500-599)
- V Employment and Training Administration, Department of Labor (Parts 600—699)
- VI Office of Workers' Compensation Programs, Department of Labor (Parts 700—799)
- VII Benefits Review Board, Department of Labor (Parts 800—899)
- VIII Joint Board for the Enrollment of Actuaries (Parts 900—999)
- IX Office of the Assistant Secretary for Veterans' Employment and Training Service, Department of Labor (Parts 1000—1099)

# Title 21—Food and Drugs

- I Food and Drug Administration, Department of Health and Human Services (Parts 1—1299)
- II Drug Enforcement Administration, Department of Justice (Parts 1300—1399)
- III Office of National Drug Control Policy (Parts 1400—1499)

# Title 22—Foreign Relations

- I Department of State (Parts 1—199)
- II Agency for International Development (Parts 200—299)
- III Peace Corps (Parts 300—399)
- IV International Joint Commission, United States and Canada (Parts 400—499)
- V Broadcasting Board of Governors (Parts 500—599)
- VII Overseas Private Investment Corporation (Parts 700—799)
- IX Foreign Service Grievance Board (Parts 900—999)
- X Inter-American Foundation (Parts 1000—1099)
- XI International Boundary and Water Commission, United States and Mexico, United States Section (Parts 1100—1199)
- XII United States International Development Cooperation Agency (Parts 1200—1299)
- XIII Millennium Challenge Corporation (Parts 1300—1399)
- XIV Foreign Service Labor Relations Board; Federal Labor Relations Authority; General Counsel of the Federal Labor Relations Authority; and the Foreign Service Impasse Disputes Panel (Parts 1400—1499)
- XV African Development Foundation (Parts 1500—1599)
- XVI Japan-United States Friendship Commission (Parts 1600—1699)
- XVII United States Institute of Peace (Parts 1700—1799)

# Title 23—Highways

I Federal Highway Administration, Department of Transportation (Parts 1—999)

# Title 23—Highways—Continued

Chap.

- II National Highway Traffic Safety Administration and Federal Highway Administration, Department of Transportation (Parts 1200—1299)
- III National Highway Traffic Safety Administration, Department of Transportation (Parts 1300—1399)

# Title 24—Housing and Urban Development

- SUBTITLE A—OFFICE OF THE SECRETARY, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (PARTS 0—99)
- SUBTITLE B—REGULATIONS RELATING TO HOUSING AND URBAN DE-VELOPMENT
- I Office of Assistant Secretary for Equal Opportunity, Department of Housing and Urban Development (Parts 100—199)
- II Office of Assistant Secretary for Housing-Federal Housing Commissioner, Department of Housing and Urban Development (Parts 200—299)
- III Government National Mortgage Association, Department of Housing and Urban Development (Parts 300—399)
- IV Office of Housing and Office of Multifamily Housing Assistance Restructuring, Department of Housing and Urban Development (Parts 400—499)
- V Office of Assistant Secretary for Community Planning and Development, Department of Housing and Urban Development (Parts 500—599)
- VI Office of Assistant Secretary for Community Planning and Development, Department of Housing and Urban Development (Parts 600—699) [Reserved]
- VII Office of the Secretary, Department of Housing and Urban Development (Housing Assistance Programs and Public and Indian Housing Programs) (Parts 700—799)
- VIII Office of the Assistant Secretary for Housing—Federal Housing Commissioner, Department of Housing and Urban Development (Section 8 Housing Assistance Programs, Section 202 Direct Loan Program, Section 202 Supportive Housing for the Elderly Program and Section 811 Supportive Housing for Persons With Disabilities Program) (Parts 800—899)
- IX Office of Assistant Secretary for Public and Indian Housing, Department of Housing and Urban Development (Parts 900—1699)
- XII Office of Inspector General, Department of Housing and Urban Development (Parts 2000—2099)
- XV Emergency Mortgage Insurance and Loan Programs, Department of Housing and Urban Development (Parts 2700—2799)
  [Reserved]
- XX Office of Assistant Secretary for Housing—Federal Housing Commissioner, Department of Housing and Urban Development (Parts 3200—3899)
- XXIV Board of Directors of the HOPE for Homeowners Program (Parts 4000—4099) [Reserved]
- XXV Neighborhood Reinvestment Corporation (Parts 4100—4199)

# Title 25—Indians

Chap.

- I Bureau of Indian Affairs, Department of the Interior (Parts 1—299)
- II Indian Arts and Crafts Board, Department of the Interior (Parts 300-399)
- III National Indian Gaming Commission, Department of the Interior (Parts 500—599)
- IV Office of Navajo and Hopi Indian Relocation (Parts 700—899)
- V Bureau of Indian Affairs, Department of the Interior, and Indian Health Service, Department of Health and Human Services (Part 900—999)
- VI Office of the Assistant Secretary, Indian Affairs, Department of the Interior (Parts 1000—1199)
- VII Office of the Special Trustee for American Indians, Department of the Interior (Parts 1200—1299)

# Title 26—Internal Revenue

I Internal Revenue Service, Department of the Treasury (Parts 1—End)

# Title 27—Alcohol, Tobacco Products and Firearms

- I Alcohol and Tobacco Tax and Trade Bureau, Department of the Treasury (Parts 1—399)
- II Bureau of Alcohol, Tobacco, Firearms, and Explosives, Department of Justice (Parts 400—699)

#### Title 28—Judicial Administration

- I Department of Justice (Parts 0—299)
- III Federal Prison Industries, Inc., Department of Justice (Parts 300—399)
- V Bureau of Prisons, Department of Justice (Parts 500—599)
- VI Offices of Independent Counsel, Department of Justice (Parts 600-699)
- VII Office of Independent Counsel (Parts 700—799)
- VIII Court Services and Offender Supervision Agency for the District of Columbia (Parts 800—899)
- IX National Crime Prevention and Privacy Compact Council (Parts 900—999)
- XI Department of Justice and Department of State (Parts 1100—1199)

# Title 29—Labor

Subtitle A—Office of the Secretary of Labor (Parts 0—99) Subtitle B—Regulations Relating to Labor

I National Labor Relations Board (Parts 100—199)

#### Title 29—Labor—Continued

Chap.

- II Office of Labor-Management Standards, Department of Labor (Parts 200—299)
- III National Railroad Adjustment Board (Parts 300—399)
- IV Office of Labor-Management Standards, Department of Labor (Parts 400—499)
- V Wage and Hour Division, Department of Labor (Parts 500—899)
- IX Construction Industry Collective Bargaining Commission (Parts 900—999)
- X National Mediation Board (Parts 1200—1299)
- XII Federal Mediation and Conciliation Service (Parts 1400—1499)
- XIV Equal Employment Opportunity Commission (Parts 1600—1699)
- XVII Occupational Safety and Health Administration, Department of Labor (Parts 1900—1999)
  - XX Occupational Safety and Health Review Commission (Parts 2200—2499)
- XXV Employee Benefits Security Administration, Department of Labor (Parts 2500—2599)
- XXVII Federal Mine Safety and Health Review Commission (Parts 2700—2799)
  - XL Pension Benefit Guaranty Corporation (Parts 4000—4999)

# **Title 30—Mineral Resources**

- I Mine Safety and Health Administration, Department of Labor (Parts 1—199)
- II Bureau of Safety and Environmental Enforcement, Department of the Interior (Parts 200—299)
- IV Geological Survey, Department of the Interior (Parts 400—499)
- V Bureau of Ocean Energy Management, Department of the Interior (Parts 500-599)
- VII Office of Surface Mining Reclamation and Enforcement, Department of the Interior (Parts 700—999)
- XII Office of Natural Resources Revenue, Department of the Interior (Parts 1200—1299)

# Title 31—Money and Finance: Treasury

- Subtitle A—Office of the Secretary of the Treasury (Parts 0-50)
- SUBTITLE B—REGULATIONS RELATING TO MONEY AND FINANCE
- I Monetary Offices, Department of the Treasury (Parts 51—199)
- II Fiscal Service, Department of the Treasury (Parts 200—399)
- IV Secret Service, Department of the Treasury (Parts 400—499)
- V Office of Foreign Assets Control, Department of the Treasury (Parts 500—599)
- VI Bureau of Engraving and Printing, Department of the Treasury (Parts 600—699)
- $\begin{array}{ll} {\rm VII} & {\rm Federal\ Law\ Enforcement\ Training\ Center,\ Department\ of\ the} \\ & {\rm Treasury\ (Parts\ 700-799)} \end{array}$

# Title 31—Money and Finance: Treasury—Continued

- VIII Office of Investment Security, Department of the Treasury (Parts 800—899)
- IX Federal Claims Collection Standards (Department of the Treasury—Department of Justice) (Parts 900—999)
- X Financial Crimes Enforcement Network, Department of the Treasury (Parts 1000—1099)

#### Title 32—National Defense

- SUBTITLE A—DEPARTMENT OF DEFENSE
- I Office of the Secretary of Defense (Parts 1—399)
- V Department of the Army (Parts 400—699)
- VI Department of the Navy (Parts 700—799)
- VII Department of the Air Force (Parts 800—1099)

  SUBTITLE B—OTHER REGULATIONS RELATING TO NATIONAL DE-
- XII Defense Logistics Agency (Parts 1200—1299)
- XVI Selective Service System (Parts 1600—1699)
- XVII Office of the Director of National Intelligence (Parts 1700—1799)
- XVIII National Counterintelligence Center (Parts 1800—1899)
  - XIX Central Intelligence Agency (Parts 1900—1999)
  - XX Information Security Oversight Office, National Archives and Records Administration (Parts 2000—2099)
  - XXI National Security Council (Parts 2100—2199)
- XXIV Office of Science and Technology Policy (Parts 2400—2499)
- XXVII Office for Micronesian Status Negotiations (Parts 2700—2799)
- XXVIII Office of the Vice President of the United States (Parts 2800—2899)

# Title 33—Navigation and Navigable Waters

- I Coast Guard, Department of Homeland Security (Parts 1—199)
- II Corps of Engineers, Department of the Army, Department of Defense (Parts 200—399)
- IV Saint Lawrence Seaway Development Corporation, Department of Transportation (Parts 400—499)

# Title 34—Education

- SUBTITLE A—OFFICE OF THE SECRETARY, DEPARTMENT OF EDUCATION (PARTS 1—99)
- SUBTITLE B—REGULATIONS OF THE OFFICES OF THE DEPARTMENT OF EDUCATION
- Office for Civil Rights, Department of Education (Parts 100—199)
- II Office of Elementary and Secondary Education, Department of Education (Parts 200—299)
- III Office of Special Education and Rehabilitative Services, Department of Education (Parts 300—399)

# Title 34—Education—Continued

Chap.

- IV Office of Career, Technical and Adult Education, Department of Education (Parts 400—499)
- V Office of Bilingual Education and Minority Languages Affairs, Department of Education (Parts 500—599) [Reserved]
- VI Office of Postsecondary Education, Department of Education (Parts 600—699)
- VII Office of Educational Research and Improvement, Department of Education (Parts 700—799) [Reserved]
  - SUBTITLE C—REGULATIONS RELATING TO EDUCATION
- XI (Parts 1100—1199) [Reserved]
- XII National Council on Disability (Parts 1200—1299)

#### Title 35 [Reserved]

# Title 36—Parks, Forests, and Public Property

- I National Park Service, Department of the Interior (Parts 1—199)
- II Forest Service, Department of Agriculture (Parts 200—299)
- III Corps of Engineers, Department of the Army (Parts 300—399)
- $IV \quad American \ Battle \ Monuments \ Commission \ (Parts \ 400-499)$
- V Smithsonian Institution (Parts 500—599)
- VI [Reserved]
- VII Library of Congress (Parts 700—799)
- VIII Advisory Council on Historic Preservation (Parts 800—899)
- IX Pennsylvania Avenue Development Corporation (Parts 900—999)
- X Presidio Trust (Parts 1000—1099)
- XI Architectural and Transportation Barriers Compliance Board (Parts 1100—1199)
- XII National Archives and Records Administration (Parts 1200—1299)
- XV Oklahoma City National Memorial Trust (Parts 1500—1599)
- XVI Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation (Parts 1600—1699)

# Title 37—Patents, Trademarks, and Copyrights

- I United States Patent and Trademark Office, Department of Commerce (Parts 1—199)
- II U.S. Copyright Office, Library of Congress (Parts 200—299)
- III Copyright Royalty Board, Library of Congress (Parts 300—399)
- IV National Institute of Standards and Technology, Department of Commerce (Parts 400—599)

### Title 38—Pensions, Bonuses, and Veterans' Relief

- I Department of Veterans Affairs (Parts 0—199)
- II Armed Forces Retirement Home (Parts 200—299)

# Title 39—Postal Service

Cha	n
Ona	μ.

- I United States Postal Service (Parts 1—999)
- III Postal Regulatory Commission (Parts 3000—3099)

#### Title 40—Protection of Environment

- I Environmental Protection Agency (Parts 1—1099)
- IV Environmental Protection Agency and Department of Justice (Parts 1400—1499)
- V Council on Environmental Quality (Parts 1500—1599)
- VI Chemical Safety and Hazard Investigation Board (Parts 1600—1699)
- VII Environmental Protection Agency and Department of Defense; Uniform National Discharge Standards for Vessels of the Armed Forces (Parts 1700—1799)
- VIII Gulf Coast Ecosystem Restoration Council (Parts 1800—1899)

# Title 41—Public Contracts and Property Management

- SUBTITLE A—FEDERAL PROCUREMENT REGULATIONS SYSTEM [NOTE]
- SUBTITLE B—OTHER PROVISIONS RELATING TO PUBLIC CONTRACTS
- 50 Public Contracts, Department of Labor (Parts 50-1-50-999)
- 51 Committee for Purchase From People Who Are Blind or Severely Disabled (Parts 51-1—51-99)
- 60 Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor (Parts 60–1—60–999)
- 61 Office of the Assistant Secretary for Veterans' Employment and Training Service, Department of Labor (Parts 61–1—61–999)
- 62—100 [Reserved]
  - SUBTITLE C—FEDERAL PROPERTY MANAGEMENT REGULATIONS SYSTEM
  - 101 Federal Property Management Regulations (Parts 101–1—101–99)
  - 102 Federal Management Regulation (Parts 102-1—102-299)
- 103—104 [Reserved]
  - 105 General Services Administration (Parts 105-1—105-999)
  - 109 Department of Energy Property Management Regulations (Parts 109-1-109-99)
  - 114 Department of the Interior (Parts 114–1—114–99)
  - $115 \quad Environmental\ Protection\ Agency\ (Parts\ 115–1-115-99)$
  - $128 \quad \text{Department of Justice (Parts 128-1-128-99)} \\$
- 129—200 [Reserved]
  - SUBTITLE D—OTHER PROVISIONS RELATING TO PROPERTY MANAGEMENT [RESERVED]
  - SUBTITLE E—FEDERAL INFORMATION RESOURCES MANAGEMENT REGULATIONS SYSTEM [RESERVED]
  - SUBTITLE F—FEDERAL TRAVEL REGULATION SYSTEM
  - 300 General (Parts 300-1-300-99)
  - $301 \quad \text{Temporary Duty (TDY) Travel Allowances (Parts 301–1—301–99)} \\$

# Title 41—Public Contracts and Property Management—Continued $_{\mathrm{Chap.}}$

- 302 Relocation Allowances (Parts 302-1-302-99)
- 303 Payment of Expenses Connected with the Death of Certain Employees (Part 303-1—303-99)
- 304 Payment of Travel Expenses from a Non-Federal Source (Parts 304–1—304–99)

#### Title 42—Public Health

- I Public Health Service, Department of Health and Human Services (Parts 1—199)
- II—III [Reserved]
  - IV Centers for Medicare & Medicaid Services, Department of Health and Human Services (Parts 400—699)
  - V Office of Inspector General-Health Care, Department of Health and Human Services (Parts 1000—1099)

#### Title 43—Public Lands: Interior

- Subtitle A—Office of the Secretary of the Interior (Parts 1—199)
- SUBTITLE B—REGULATIONS RELATING TO PUBLIC LANDS
- I Bureau of Reclamation, Department of the Interior (Parts 400—999)
- II Bureau of Land Management, Department of the Interior (Parts 1000—9999)
- III Utah Reclamation Mitigation and Conservation Commission (Parts 10000—10099)

# Title 44—Emergency Management and Assistance

- I Federal Emergency Management Agency, Department of Homeland Security (Parts 0—399)
- IV Department of Commerce and Department of Transportation (Parts 400—499)

### Title 45—Public Welfare

- Subtitle A—Department of Health and Human Services (Parts 1—199)
- SUBTITLE B—REGULATIONS RELATING TO PUBLIC WELFARE
- II Office of Family Assistance (Assistance Programs), Administration for Children and Families, Department of Health and Human Services (Parts 200—299)
- III Office of Child Support Enforcement (Child Support Enforcement Program), Administration for Children and Families, Department of Health and Human Services (Parts 300—399)
- IV Office of Refugee Resettlement, Administration for Children and Families, Department of Health and Human Services (Parts 400—499)

# Title 45—Public Welfare—Continued

Chap.

- V Foreign Claims Settlement Commission of the United States, Department of Justice (Parts 500—599)
- VI National Science Foundation (Parts 600—699)
- VII Commission on Civil Rights (Parts 700-799)
- VIII Office of Personnel Management (Parts 800—899)
- IX Denali Commission (Parts 900—999)
- X Office of Community Services, Administration for Children and Families, Department of Health and Human Services (Parts 1000—1099)
- XI National Foundation on the Arts and the Humanities (Parts 1100—1199)
- XII Corporation for National and Community Service (Parts 1200—1299)
- XIII Administration for Children and Families, Department of Health and Human Services (Parts 1300—1399)
- XVI Legal Services Corporation (Parts 1600—1699)
- XVII National Commission on Libraries and Information Science (Parts 1700—1799)
- XVIII Harry S. Truman Scholarship Foundation (Parts 1800—1899)
  - XXI Commission of Fine Arts (Parts 2100—2199)
- XXIII Arctic Research Commission (Parts 2300—2399)
- XXIV James Madison Memorial Fellowship Foundation (Parts 2400—2499)
- XXV Corporation for National and Community Service (Parts 2500—2599)

# Title 46—Shipping

- I Coast Guard, Department of Homeland Security (Parts 1—199)
- II Maritime Administration, Department of Transportation (Parts 200-399)
- III Coast Guard (Great Lakes Pilotage), Department of Homeland Security (Parts 400—499)
- IV Federal Maritime Commission (Parts 500—599)

# Title 47—Telecommunication

- I Federal Communications Commission (Parts 0—199)
- II Office of Science and Technology Policy and National Security Council (Parts 200—299)
- III National Telecommunications and Information Administration, Department of Commerce (Parts 300—399)
- IV National Telecommunications and Information Administration, Department of Commerce, and National Highway Traffic Safety Administration, Department of Transportation (Parts 400— 499)
- V The First Responder Network Authority (Parts 500—599)

# Title 48—Federal Acquisition Regulations System

Chap

- 1 Federal Acquisition Regulation (Parts 1—99)
- 2 Defense Acquisition Regulations System, Department of Defense (Parts 200—299)
- 3 Department of Health and Human Services (Parts 300—399)
- 4 Department of Agriculture (Parts 400—499)
- 5 General Services Administration (Parts 500—599)
- 6 Department of State (Parts 600—699)
- 7 Agency for International Development (Parts 700—799)
- 8 Department of Veterans Affairs (Parts 800—899)
- 9 Department of Energy (Parts 900—999)
- 10 Department of the Treasury (Parts 1000—1099)
- 12 Department of Transportation (Parts 1200—1299)
- 13 Department of Commerce (Parts 1300—1399)
- 14 Department of the Interior (Parts 1400—1499)
- 15 Environmental Protection Agency (Parts 1500—1599)
- 16 Office of Personnel Management, Federal Employees Health Benefits Acquisition Regulation (Parts 1600—1699)
- 17 Office of Personnel Management (Parts 1700—1799)
- 18 National Aeronautics and Space Administration (Parts 1800—1899)
- 19 Broadcasting Board of Governors (Parts 1900—1999)
- 20 Nuclear Regulatory Commission (Parts 2000—2099)
- 21 Office of Personnel Management, Federal Employees Group Life Insurance Federal Acquisition Regulation (Parts 2100—2199)
- 23 Social Security Administration (Parts 2300—2399)
- 24 Department of Housing and Urban Development (Parts 2400—2499)
- 25 National Science Foundation (Parts 2500—2599)
- 28 Department of Justice (Parts 2800—2899)
- 29 Department of Labor (Parts 2900—2999)
- 30 Department of Homeland Security, Homeland Security Acquisition Regulation (HSAR) (Parts 3000—3099)
- 34 Department of Education Acquisition Regulation (Parts 3400—3499)
- 51 Department of the Army Acquisition Regulations (Parts 5100—5199)
- 52 Department of the Navy Acquisition Regulations (Parts 5200—5299)
- 53 Department of the Air Force Federal Acquisition Regulation Supplement (Parts 5300—5399) [Reserved]
- 54 Defense Logistics Agency, Department of Defense (Parts 5400—5499)
- 57 African Development Foundation (Parts 5700—5799)
- 61 Civilian Board of Contract Appeals, General Services Administration (Parts 6100—6199)
- 99 Cost Accounting Standards Board, Office of Federal Procurement Policy, Office of Management and Budget (Parts 9900—9999)

# **Title 49—Transportation**

Chap.

- Subtitle A—Office of the Secretary of Transportation (Parts 1—99)
- SUBTITLE B—OTHER REGULATIONS RELATING TO TRANSPORTATION
- I Pipeline and Hazardous Materials Safety Administration, Department of Transportation (Parts 100—199)
- II Federal Railroad Administration, Department of Transportation (Parts 200—299)
- III Federal Motor Carrier Safety Administration, Department of Transportation (Parts 300—399)
- IV Coast Guard, Department of Homeland Security (Parts 400—499)
- V National Highway Traffic Safety Administration, Department of Transportation (Parts 500—599)
- VI Federal Transit Administration, Department of Transportation (Parts 600—699)
- VII National Railroad Passenger Corporation (AMTRAK) (Parts 700—799)
- VIII National Transportation Safety Board (Parts 800—999)
  - X Surface Transportation Board (Parts 1000—1399)
  - XI Research and Innovative Technology Administration, Department of Transportation (Parts 1400—1499) [Reserved]
- XII Transportation Security Administration, Department of Homeland Security (Parts 1500—1699)

#### Title 50—Wildlife and Fisheries

- I United States Fish and Wildlife Service, Department of the Interior (Parts 1—199)
- II National Marine Fisheries Service, National Oceanic and Atmospheric Administration, Department of Commerce (Parts 200—299)
- III International Fishing and Related Activities (Parts 300—399)
- IV Joint Regulations (United States Fish and Wildlife Service, Department of the Interior and National Marine Fisheries Service, National Oceanic and Atmospheric Administration, Department of Commerce); Endangered Species Committee Regulations (Parts 400—499)
- V Marine Mammal Commission (Parts 500—599)
- VI Fishery Conservation and Management, National Oceanic and Atmospheric Administration, Department of Commerce (Parts 600—699)

# Alphabetical List of Agencies Appearing in the CFR (Revised as of July 1, 2019)

	CFR Title, Subtitle or
	Chapter
Administrative Conference of the United States Advisory Council on Historic Preservation Advocacy and Outreach, Office of Afghanistan Reconstruction, Special Inspector General for African Development Foundation Federal Acquisition Regulation Agency for International Development Federal Acquisition Regulation Agricultural Marketing Service Agricultural Research Service Agricultural Research Service Agricultural Marketing Service Agricultural Marketing Service Agricultural Marketing Service Agricultural Research Service Agricultural Research Service Agricultural Research Service Agricultural Research Service Agricultural Marketing Service Agricultural Marketing Service Agricultural Research Service Chief Financial Officer, Office of Commodity Credit Corporation Economic Research Service Energy Policy and New Uses, Office of Environmental Quality, Office of Environmental Quality, Office of Farm Service Agency Federal Acquisition Regulation Federal Crop Insurance Corporation Food and Nutrition Service Fored Safety and Inspection Service Foreign Agricultural Service Forest Service Grain Inspection, Packers and Stockyards Administration Information Resources Management, Office of Inspector General, Office of National Agricultural Statistics Service National Agricultural Statistics Service National Institute of Food and Agriculture Natural Resources Conservation Service Operations, Office of Procurement and Property Management, Office of Rural Business-Cooperative Service Rural Telephone Bank Rural Utilities Service Secretary of Agriculture, Office of Transportation, Office of Transportation, Office of World Agricultural Outlook Board	Chapter  1, III 36, VIII 7, XXV 5, LXXXIII 22, XV 48, 57 2, VII; 22, II 48, 7 7, I, IX, X, XI 7, V 2, IV; 5, LXXIII 7, XXV 7, I, IX, X, XI 7, V 7, III; 9, I 7, XXX 7, XIV 7, XXXVII 2, IX; 7, XXIX 7, XIV 7, III 9, III 9, III 7, XY 10 7, II 9, III 11 7, XV 12 13 14 15 16 17 17 17 18 17 18 18 18 19 19 11 11
Rural Utilities Service	7, XVII, XVIII, XLII
Transportation, Office of	7, XXXIII
Air Force, Department of	32, VII
Federal Acquisition Regulation Supplement Air Transportation Stabilization Board	48, 53 14, VI
Alcohol and Tobacco Tax and Trade Bureau Alcohol, Tobacco, Firearms, and Explosives, Bureau of	27, I 27, II
AMTRAK American Battle Monuments Commission	49, VII 36, IV
American Indians, Office of the Special Trustee Animal and Plant Health Inspection Service	25, VII 7, III; 9, I
• • • • • • • • • • • • • • • • • • • •	

	CFR Title, Subtitle or
Agency	Chapter
Appalachian Regional Commission	5, IX
Architectural and Transportation Barriers Compliance Board Arctic Research Commission	36, XI 45, XXIII
Armed Forces Retirement Home	5, XI
Army, Department of	32, V
Engineers, Corps of	33, II; 36, III 48, 51
Federal Acquisition Regulation Bilingual Education and Minority Languages Affairs, Office of	34, V
Blind or Severely Disabled, Committee for Purchase from	41, 51
People Who Are	00. 11
Broadcasting Board of Governors Federal Acquisition Regulation	22, V 48, 19
Career, Technical, and Adult Education, Office of	34, IV
Census Bureau	15, I
Centers for Medicare & Medicaid Services	42, IV
Central Intelligence Agency Chemical Safety and Hazard Investigation Board	32, XIX 40, VI
Chief Financial Officer, Office of	7, XXX
Child Support Enforcement, Office of	45, III
Children and Families, Administration for Civil Rights, Commission on	45, II, III, IV, X, XIII 5, LXVIII; 45, VII
Civil Rights, Office for	34, I
Council of the Inspectors General on Integrity and Efficiency	5, XCVIII
Court Services and Offender Supervision Agency for the	5, LXX
District of Columbia Coast Guard	33, I; 46, I; 49, IV
Coast Guard (Great Lakes Pilotage)	46, III
Commerce, Department of	2, XIII; 44, IV; 50, VI
Census Bureau Economic Analysis, Bureau of	15, I 15, VIII
Economic Development Administration	13, III
Emergency Management and Assistance	44, IV
Federal Acquisition Regulation	48, 13
Foreign-Trade Zones Board Industry and Security, Bureau of	15, IV 15, VII
International Trade Administration	15, III; 19, III
National Institute of Standards and Technology	15, II; 37, IV
National Marine Fisheries Service National Oceanic and Atmospheric Administration	50, II, IV 15, IX; 50, II, III, IV, VI
National Technical Information Service	15, IX, 50, II, III, IV, VI 15, XI
National Telecommunications and Information	15, XXIII; 47, III, IV
Administration	15 777
National Weather Service Patent and Trademark Office, United States	15, IX 37, I
Secretary of Commerce, Office of	15, Subtitle A
Commercial Space Transportation	14, III
Commodity Credit Corporation	7, XIV
Commodity Futures Trading Commission Community Planning and Development, Office of Assistant	5, XLI; 17, I 24, V, VI
Secretary for	21, 1, 12
Community Services, Office of	45, X
Comptroller of the Currency Construction Industry Collective Bargaining Commission	12, I 29, IX
Consumer Financial Protection Bureau	5, LXXXIV; 12, X
Consumer Product Safety Commission	5, LXXI; 16, II
Copyright Royalty Board	37, III
Corporation for National and Community Service Cost Accounting Standards Board	2, XXII; 45, XII, XXV 48, 99
Council on Environmental Quality	40, V
Court Services and Offender Supervision Agency for the	5, LXX; 28, VIII
District of Columbia Customs and Border Protection	10 T
Defense Contract Audit Agency	19, I 32, I
Defense, Department of	2, XI; 5, XXVI; 32,
Advanced Descends Designs Age	Subtitle A; 40, VII
Advanced Research Projects Agency Air Force Department	32, I 32, VII
III I 0100 Dopai uniono	O, V 11

	CED Witle Subtitle on
Agency	CFR Title, Subtitle or Chapter
Army Department	32, V; 33, II; 36, III; 48, 51
Defense Acquisition Regulations System	48, 2
Defense Intelligence Agency Defense Logistics Agency	32, I 32, I, XII; 48, 54
Engineers, Corps of	33, II; 36, III
National Imagery and Mapping Agency Navy Department	32, I 32, VI; 48, 52
Secretary of Defense, Office of	2, XI; 32, I
Defense Contract Audit Agency	32, I 32, I
Defense Intelligence Agency Defense Logistics Agency	32, XII; 48, 54
Defense Nuclear Facilities Safety Board	10, XVII
Delaware River Basin Commission Denali Commission	18, III 45, IX
Disability, National Council on	5, C; 34, XII
District of Columbia, Court Services and Offender Supervision Agency for the	5, LXX; 28, VIII
Drug Enforcement Administration	21, II
East-West Foreign Trade Board Economic Analysis, Bureau of	15, XIII 15, VIII
Economic Development Administration	13, III
Economic Research Service Education, Department of	7, XXXVII 2, XXXIV; 5, LIII
Bilingual Education and Minority Languages Affairs, Office	34, V
of Career, Technical, and Adult Education, Office of	34, IV
Civil Rights, Office for	34, I
Educational Research and Improvement, Office of	34, VII 34, II
Elementary and Secondary Education, Office of Federal Acquisition Regulation	34, 11 48, 34
Postsecondary Education, Office of	34, VI
Secretary of Education, Office of Special Education and Rehabilitative Services, Office of	34, Subtitle A 34, III
Educational Research and Improvement, Office of	34, VII
Election Assistance Commission Elementary and Secondary Education, Office of	2, LVIII; 11, II 34, II
Emergency Oil and Gas Guaranteed Loan Board	13, V
Emergency Steel Guarantee Loan Board Employee Benefits Security Administration	13, IV 29, XXV
Employees' Compensation Appeals Board	20, IV
Employees Loyalty Board	5, V
Employment and Training Administration Employment Policy, National Commission for	20, V 1, IV
Employment Standards Administration	20, VI
Endangered Species Committee Energy, Department of	50, IV 2, IX; 5, XXIII; 10, II,
	III, X
Federal Acquisition Regulation Federal Energy Regulatory Commission	48, 9 5, XXIV; 18, I
Property Management Regulations	41, 109
Energy, Office of Engineers, Corps of	7, XXIX 33, II; 36, III
Engraving and Printing, Bureau of	31, VI
Environmental Protection Agency	2, XV; 5, LIV; 40, I, IV, VII
Federal Acquisition Regulation	48, 15
Property Management Regulations	41, 115
Environmental Quality, Office of Equal Employment Opportunity Commission	7, XXXI 5, LXII; 29, XIV
Equal Opportunity, Office of Assistant Secretary for	24, I
Executive Office of the President Environmental Quality, Council on	3, I 40, V
Management and Budget, Office of	2, Subtitle A; 5, III,
National Drug Control Policy, Office of	LXXVII; 14, VI; 48, 99 2, XXXVI; 21, III
National Security Council	32, XXI; 47, 2

	CFR Title, Subtitle or
Agency	Chapter 3
Presidential Documents Science and Technology Policy, Office of	32, XXIV; 47, II
Trade Representative, Office of the United States	15, XX
Export-Import Bank of the United States	2, XXXV; 5, LII; 12, IV
Family Assistance, Office of Farm Credit Administration	45, II 5, XXXI; 12, VI
Farm Credit System Insurance Corporation	5, XXX; 12, XIV
Farm Service Agency	7, VII, XVIII
Federal Acquisition Regulation Federal Aviation Administration	48, 1 14, I
Commercial Space Transportation	14, 1 14, III
Federal Claims Collection Standards	31, IX
Federal Communications Commission	5, XXIX; 47, I
Federal Contract Compliance Programs, Office of Federal Crop Insurance Corporation	41, 60 7, IV
Federal Deposit Insurance Corporation	5, XXII; 12, III
Federal Election Commission	5, XXXVII; 11, I
Federal Emergency Management Agency Federal Employees Group Life Insurance Federal Acquisition	44, I 48, 21
Regulation	40, 21
Federal Employees Health Benefits Acquisition Regulation	48, 16
Federal Energy Regulatory Commission	5, XXIV; 18, I
Federal Financial Institutions Examination Council Federal Financing Bank	12, XI 12, VIII
Federal Highway Administration	23, I, II
Federal Home Loan Mortgage Corporation	1, IV
Federal Housing Enterprise Oversight Office	12, XVII
Federal Housing Finance Agency Federal Housing Finance Board	5, LXXX; 12, XII 12, IX
Federal Labor Relations Authority	5, XIV, XLIX; 22, XIV
Federal Law Enforcement Training Center	31, VII
Federal Management Regulation Federal Maritime Commission	41, 102 46, IV
Federal Mediation and Conciliation Service	29, XII
Federal Mine Safety and Health Review Commission	5, LXXIV; 29, XXVII
Federal Motor Carrier Safety Administration	49, III
Federal Prison Industries, Inc. Federal Procurement Policy Office	28, III 48, 99
Federal Property Management Regulations	41, 101
Federal Railroad Administration	49, II
Federal Register, Administrative Committee of Federal Register, Office of	1, I 1, II
Federal Reserve System	1, 11 12, II
Board of Governors	5, LVIII
Federal Retirement Thrift Investment Board	5, VI, LXXVI
Federal Service Impasses Panel Federal Trade Commission	5, XIV 5, XLVII; 16, I
Federal Transit Administration	49, VI
Federal Travel Regulation System	41, Subtitle F
Financial Crimes Enforcement Network	31, X
Financial Research Office Financial Stability Oversight Council	12, XVI 12, XIII
Fine Arts, Commission of	45, XXI
Fiscal Service	31, II
Fish and Wildlife Service, United States Food and Drug Administration	50, I, IV 21, I
Food and Nutrition Service	7, II
Food Safety and Inspection Service	9, III
Foreign Agricultural Service	7, XV
Foreign Assets Control, Office of Foreign Claims Settlement Commission of the United States	31, V 45, V
Foreign Service Grievance Board	22, IX
Foreign Service Impasse Disputes Panel	22, XIV
Foreign Service Labor Relations Board Foreign-Trade Zones Board	22, XIV 15, IV
Forest Service	36, II
General Services Administration	5, LVII; 41, 105

A	CFR Title, Subtitle or
Agency Contract Appeals Board of	Chapter 48, 61
Contract Appeals, Board of Federal Acquisition Regulation	48, 5
Federal Management Regulation	41, 102
Federal Property Management Regulations	41, 101
Federal Travel Regulation System	41, Subtitle F
General	41, 300
Payment From a Non-Federal Source for Travel Expenses	41, 304
Payment of Expenses Connected With the Death of Certain	41, 303
Employees	41 000
Relocation Allowances	41, 302
Temporary Duty (TDY) Travel Allowances Geological Survey	41, 301 30, IV
Government Accountability Office	4, I
Government Ethics, Office of	5, XVI
Government National Mortgage Association	24, III
Grain Inspection, Packers and Stockyards Administration	7, VIII; 9, II
Gulf Coast Ecosystem Restoration Council	2, LIX; 40, VIII
Harry S. Truman Scholarship Foundation	45, XVIII
Health and Human Services, Department of	2, III; 5, XLV; 45, Subtitle A
Centers for Medicare & Medicaid Services	42, IV
Child Support Enforcement, Office of	45, III
Children and Families, Administration for	45, II, III, IV, X, XIII
Community Services, Office of	45, X
Family Assistance, Office of	45, II
Federal Acquisition Regulation	48, 3
Food and Drug Administration	21, I
Indian Health Service	25, V
Inspector General (Health Care), Office of Public Health Service	42, V 42, I
Refugee Resettlement, Office of	45, IV
Homeland Security, Department of	2, XXX; 5, XXXVI; 6, I;
	8, I
Coast Guard	33, I; 46, I; 49, IV
Coast Guard (Great Lakes Pilotage)	46, III
Customs and Border Protection	19, I
Federal Emergency Management Agency	44, I
Human Resources Management and Labor Relations Systems	5, XCVII
Immigration and Customs Enforcement Bureau	19, IV
Transportation Security Administration	49, XII
HOPE for Homeowners Program, Board of Directors of	24, XXIV
Housing and Urban Development, Department of	2, XXIV; 5, LXV; 24,
	Subtitle B
Community Planning and Development, Office of Assistant	24, V, VI
Secretary for Equal Opportunity, Office of Assistant Secretary for	24, I
Federal Acquisition Regulation	48, 24
Federal Housing Enterprise Oversight, Office of	12, XVII
Government National Mortgage Association	24, III
Housing—Federal Housing Commissioner, Office of	24, II, VIII, X, XX
Assistant Secretary for	
Housing, Office of, and Multifamily Housing Assistance	24, IV
Restructuring, Office of	0.4
Inspector General, Office of Public and Indian Housing, Office of Assistant Secretary for	24, XII
Secretary, Office of	24, IX 24, Subtitle A, VII
Housing—Federal Housing Commissioner, Office of Assistant	24, II, VIII, X, XX
Secretary for	21, 21, 121, 12, 121
Housing, Office of, and Multifamily Housing Assistance	24, IV
Restructuring, Office of	
Immigration and Customs Enforcement Bureau	19, IV
Immigration Review, Executive Office for	8, V
Independent Counsel, Office of	28, VII
Independent Counsel, Offices of	28, VI
Indian Affairs, Bureau of Indian Affairs, Office of the Assistant Secretary	25, I, V 25, VI
muran Amana, Office of the Assistant Secretary	40, VI

	CFR Title, Subtitle or
Agency	Chapter
Indian Arts and Crafts Board	25, II
Indian Health Service Industry and Security, Bureau of	25, V 15, VII
Information Resources Management, Office of	7, XXVII
Information Security Oversight Office, National Archives and	32, XX
Records Administration	,
Inspector General	
Agriculture Department	7, XXVI
Health and Human Services Department	42, V
Housing and Urban Development Department Institute of Peace, United States	24, XII, XV 22, XVII
Inter-American Foundation	5, LXIII; 22, X
Interior, Department of	2, XIV
American Indians, Office of the Special Trustee	25, VII
Endangered Species Committee	50, IV
Federal Acquisition Regulation	48, 14
Federal Property Management Regulations System Fish and Wildlife Service, United States	41, 114 50, I, IV
Geological Survey	30, IV
Indian Affairs, Bureau of	25, I, V
Indian Affairs, Office of the Assistant Secretary	25, VI
Indian Arts and Crafts Board	25, II
Land Management, Bureau of National Indian Gaming Commission	43, II 25, III
National Park Service	36, I
Natural Resource Revenue, Office of	30, XII
Ocean Energy Management, Bureau of	30, V
Reclamation, Bureau of	43, I
Safety and Enforcement Bureau, Bureau of	30, II
Secretary of the Interior, Office of Surface Mining Reclamation and Enforcement, Office of	2, XIV; 43, Subtitle A 30, VII
Internal Revenue Service	26, I
International Boundary and Water Commission, United States	22, XI
and Mexico, United States Section	
International Development, United States Agency for	22, II
Federal Acquisition Regulation International Development Cooperation Agency, United	48, 7 22, XII
States	22, 211
International Joint Commission, United States and Canada	22, IV
International Organizations Employees Loyalty Board	5, V
International Trade Administration International Trade Commission, United States	15, III; 19, III 19, II
Interstate Commerce Commission	5, XL
Investment Security, Office of	31, VIII
James Madison Memorial Fellowship Foundation	45, XXIV
Japan-United States Friendship Commission	22, XVI
Joint Board for the Enrollment of Actuaries Justice, Department of	20, VIII 2, XXVIII; 5, XXVIII;
dustice, Department of	28, I, XI; 40, IV
Alcohol, Tobacco, Firearms, and Explosives, Bureau of	27, II
Drug Enforcement Administration	21, II
Federal Acquisition Regulation	48, 28
Federal Claims Collection Standards Federal Prison Industries, Inc.	31, IX 28, III
Foreign Claims Settlement Commission of the United	45, V
States	
Immigration Review, Executive Office for	8, V 28. VI
Independent Counsel, Offices of Prisons, Bureau of	28, V
Property Management Regulations	41, 128
Labor, Department of	2, XXIX; 5, XLII
Employee Benefits Security Administration	29, XXV
Employees' Compensation Appeals Board Employment and Training Administration	20, IV 20, V
Employment Standards Administration	20, VI 20, VI
Federal Acquisition Regulation	48, 29
Federal Contract Compliance Programs, Office of	41, 60

Agency	CFR Title, Subtitle or Chapter
Federal Procurement Regulations System	41, 50
Labor-Management Standards, Office of	29, II, IV
Mine Safety and Health Administration	30, I
Occupational Safety and Health Administration	29, XVII
Public Contracts Secretary of Labor, Office of	41, 50 29, Subtitle A
Veterans' Employment and Training Service, Office of the	41, 61; 20, IX
Assistant Secretary for	11, 01, 20, 111
Wage and Hour Division	29, V
Workers' Compensation Programs, Office of	20, I, VII
Labor-Management Standards, Office of	29, II, IV
Land Management, Bureau of Legal Services Corporation	43, II 45, XVI
Libraries and Information Science, National Commission on	45, XVII
Library of Congress	36, VII
Copyright Royalty Board	37, III
U.S. Copyright Office	37, II
Local Television Loan Guarantee Board	7, XX
Management and Budget, Office of	5, III, LXXVII; 14, VI;
Marine Mammal Commission	48, 99 50, V
Maritime Administration	46, II
Merit Systems Protection Board	5, II, LXIV
Micronesian Status Negotiations, Office for	32, XXVII
Military Compensation and Retirement Modernization	5, XCIX
Commission	00 77777
Millennium Challenge Corporation Mine Safety and Health Administration	22, XIII 30, I
Minority Business Development Agency	15, XIV
Miscellaneous Agencies	1, IV
Monetary Offices	31, I
Morris K. Udall Scholarship and Excellence in National	36, XVI
Environmental Policy Foundation	0.373771
Museum and Library Services, Institute of National Aeronautics and Space Administration	2, XXXI
Federal Acquisition Regulation	2, XVIII; 5, LIX; 14, V 48, 18
National Agricultural Library	7, XLI
National Agricultural Statistics Service	7, XXXVI
National and Community Service, Corporation for	2, XXII; 45, XII, XXV
National Archives and Records Administration	2, XXVI; 5, LXVI; 36,
Information Security Oversight Office	XII 32, XX
National Capital Planning Commission	1, IV, VI
National Counterintelligence Center	32, XVIII
National Credit Union Administration	5, LXXXVI; 12, VII
National Crime Prevention and Privacy Compact Council	28, IX
National Drug Control Policy, Office of	2, XXXVI; 21, III
National Endowment for the Arts National Endowment for the Humanities	2, XXXII 2, XXXIII
National Foundation on the Arts and the Humanities	45, XI
National Geospatial-Intelligence Agency	32, I
National Highway Traffic Safety Administration	23, II, III; 47, VI; 49, V
National Imagery and Mapping Agency	32, I
National Indian Gaming Commission	25, III
National Institute of Food and Agriculture	7, XXXIV
National Institute of Standards and Technology National Intelligence, Office of Director of	15, II; 37, IV 5, IV; 32, XVII
National Labor Relations Board	5, LXI; 29, I
National Marine Fisheries Service	50, II, IV
National Mediation Board	5, CI; 29, X
National Oceanic and Atmospheric Administration	15, IX; 50, II, III, IV, VI
National Park Service	36, I
National Railroad Adjustment Board National Railroad Passenger Corporation (AMTRAK)	29, III 49, VII
National Science Foundation	49, VII 2, XXV; 5, XLIII; 45, VI
Federal Acquisition Regulation	48, 25
National Security Council	32, XXI

Agency	CFR Title, Subtitle or Chapter
National Security Council and Office of Science and Technology Policy	47, II
National Technical Information Service	15, XI
National Telecommunications and Information Administration	15, XXIII; 47, III, IV, V
National Transportation Safety Board	49, VIII
Natural Resources Conservation Service	7, VI
Natural Resource Revenue, Office of	30, XII
Navajo and Hopi Indian Relocation, Office of Navy, Department of	25, IV 32, VI
Federal Acquisition Regulation	48, 52
Neighborhood Reinvestment Corporation	24, XXV
Northeast Interstate Low-Level Radioactive Waste Commission	10, XVIII
Nuclear Regulatory Commission	2, XX; 5, XLVIII; 10, I
Federal Acquisition Regulation	48, 20
Occupational Safety and Health Administration Occupational Safety and Health Review Commission	29, XVII 29, XX
Ocean Energy Management, Bureau of	30, V
Oklahoma City National Memorial Trust	36, XV
Operations Office Overseas Private Investment Corporation	7, XXVIII 5, XXXIII; 22, VII
Patent and Trademark Office, United States	37, I
Payment From a Non-Federal Source for Travel Expenses	41, 304
Payment of Expenses Connected With the Death of Certain Employees	41, 303
Peace Corps	2, XXXVII; 22, III
Pennsylvania Avenue Development Corporation	36, IX
Pension Benefit Guaranty Corporation	29, XL
Personnel Management, Office of	5, I, XXXV; 5, IV; 45, VIII
Human Resources Management and Labor Relations	5, XCVII
Systems, Department of Homeland Security	48, 17
Federal Acquisition Regulation Federal Employees Group Life Insurance Federal	48, 21
Acquisition Regulation	-,
Federal Employees Health Benefits Acquisition Regulation	48, 16
Pipeline and Hazardous Materials Safety Administration Postal Regulatory Commission	49, I 5, XLVI; 39, III
Postal Service, United States	5, LX; 39, I
Postsecondary Education, Office of	34, VI
President's Commission on White House Fellowships Presidential Documents	1, IV 3
Presidio Trust	36, X
Prisons, Bureau of	28, V
Privacy and Civil Liberties Oversight Board Procurement and Property Management, Office of	6, X 7, XXXII
Public Contracts, Department of Labor	41, 50
Public and Indian Housing, Office of Assistant Secretary for	24, IX
Public Health Service Railroad Retirement Board	42, I 20, II
Reclamation, Bureau of	43, I
Refugee Resettlement, Office of	45, IV
Relocation Allowances	41, 302
Research and Innovative Technology Administration Rural Business-Cooperative Service	49, XI 7, XVIII, XLII
Rural Development Administration	7, XLII
Rural Housing Service	7, XVIII, XXXV
Rural Telephone Bank Rural Utilities Service	7, XVI 7, XVII, XVIII, XLII
Safety and Environmental Enforcement, Bureau of	30, II
Saint Lawrence Seaway Development Corporation	33, IV
Science and Technology Policy, Office of Science and Technology Policy, Office of, and National	32, XXIV 47, II
Science and Technology Policy, Office of, and National Security Council	11, 11
Secret Service	31, IV
Securities and Exchange Commission	5, XXXIV; 17, II

	CFR Title, Subtitle or
Agency	CFR Title, Subtitle of Chapter
Selective Service System	32, XVI
Small Business Administration	2, XXVII; 13, I
Smithsonian Institution Social Security Administration	36, V 2, XXIII; 20, III; 48, 23
Soldiers' and Airmen's Home, United States	5, XI
Special Counsel, Office of	5, VIII
Special Education and Rehabilitative Services, Office of	34, III
State, Department of Federal Acquisition Regulation	2, VI; 22, I; 28, XI 48, 6
Surface Mining Reclamation and Enforcement, Office of	30, VII
Surface Transportation Board	49, X
Susquehanna River Basin Commission Tennessee Valley Authority	18, VIII
Trade Representative, United States, Office of	5, LXIX; 18, XIII 15, XX
Transportation, Department of	2, XII; 5, L
Commercial Space Transportation	14, III
Emergency Management and Assistance Federal Acquisition Regulation	44, IV
Federal Aviation Administration	48, 12 14, I
Federal Highway Administration	23, I, II
Federal Motor Carrier Safety Administration	49, III
Federal Railroad Administration Federal Transit Administration	49, II 49, VI
Maritime Administration	46, II
National Highway Traffic Safety Administration	23, II, III; 47, IV; 49, V
Pipeline and Hazardous Materials Safety Administration	49, I
Saint Lawrence Seaway Development Corporation Secretary of Transportation, Office of	33, IV 14, II; 49, Subtitle A
Transportation Statistics Bureau	49, XI
Transportation, Office of	7, XXXIII
Transportation Security Administration	49, XII
Transportation Statistics Bureau Travel Allowances, Temporary Duty (TDY)	49, XI 41, 301
Treasury, Department of the	2, X;5, XXI; 12, XV; 17,
	IV; 31, IX
Alcohol and Tobacco Tax and Trade Bureau	27, I 12, XVIII
Community Development Financial Institutions Fund Comptroller of the Currency	12, XVIII 12, I
Customs and Border Protection	19, I
Engraving and Printing, Bureau of	31, VI
Federal Acquisition Regulation Federal Claims Collection Standards	48, 10 31, IX
Federal Law Enforcement Training Center	31, VII
Financial Crimes Enforcement Network	31, X
Fiscal Service	31, II
Foreign Assets Control, Office of Internal Revenue Service	31, V 26, I
Investment Security, Office of	31, VIII
Monetary Offices	31, I
Secret Service	31, IV
Secretary of the Treasury, Office of Truman, Harry S. Scholarship Foundation	31, Subtitle A 45, XVIII
United States and Canada, International Joint Commission	22, IV
United States and Mexico, International Boundary and Water	22, XI
Commission, United States Section U.S. Copyright Office	37, II
Utah Reclamation Mitigation and Conservation Commission	43, III
Veterans Affairs, Department of	2, VIII; 38, I
Federal Acquisition Regulation	48, 8
Veterans' Employment and Training Service, Office of the Assistant Secretary for	41, 61; 20, IX
Vice President of the United States, Office of	32, XXVIII
Wage and Hour Division	29, V
Water Resources Council Workers' Compensation Programs, Office of	18, VI 20, I, VII
World Agricultural Outlook Board	7, XXXVIII

# List of CFR sections Affected

All changes in this volume of the Code of Federal Regulations (CFR) that were made by documents published in the FEDERAL REGISTER since January 1, 2014 are enumerated in the following list. Entries indicate the nature of the changes effected. Page numbers refer to FEDERAL REGISTER pages. The user should consult the entries for chapters, parts and subparts as well as sections for revisions.

For changes to this volume of the CFR prior to this listing, consult the annual edition of the monthly List of CFR sections Affected (LSA). The LSA is available at www.govinfo.gov. For changes to this volume of the CFR prior to 2001, see the "List of CFR sections Affected, 1949–1963, 1964–1972, 1973–1985, and 1986–2000" published in 11 separate volumes. The "List of CFR sections Affected 1986–2000" is available at www.govinfo.gov.

2014	40 CFR—Continued 81 FR Page
40 CFR 79 FR Page Chapter I 60 Appendix A-1 amended	Chapter I—Continued Appendix A-3 amended59814 Appendix A-4 amended59816 Appendices A-5, A-6 and A-7
Appendix A-2 amended	amended
Appendix A-6 amended	Appendix B amended
Appendix B amended	Appendix Famended59824, 83162 Regulation at 81 FR 31515 par- tially withdrawn52348
28441	2017
71116	
2015 40 CFR 80 FR Page	40 CFR 82 FR Page
40 CFR       80 FR Page         Chapter I       60 Appendix A-8 amended       13727         Appendix B amended       38633         Appendix B corrected       42397         Appendix F amended       38649	Chapter I  60 Regulation at 81 FR 83162 withdrawn

# 40 CFR (7-1-19 Edition)

40 CFR—Continued	83 FR Page	2019
Chapter I—Continued 60 Appendix A-7 amended 60 Appendix A-8 amended 60 Appendix B amended 60 Appendix F amended	56723 56723 56723	(No regulations published from January 1, 2019, through July 1, 2019)

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