EPA

United States Environmental Protection Agency
Washington, D.C. 20460

Water Compliance Inspection Report

Section A: National Data System Coding (i.e. PCS)

Transaction Code
1 ☐ 2 |5| 3 |‖‖‖‖‖‖‖‖| 11

NPDES

yr/mo/day
12 |‖‖‖‖‖| 17

Inspection Type
18 ☐

Inspector
19 ☐

Fac Type
20 ☐

Remarks
21 |‖‖‖| 66

Inspection Work Days
67 |‖‖| 69

Facility Self-Monitoring Evaluation Rating
70 ☐

BI
71 ☐

QA
72 ☐

------------------------Reserved------------------------
73 |‖| 74   75 |‖‖‖‖‖‖| 80

Section B: Facility Data

Name and Location of Facility Inspected *(For industrial users discharging to POTW, also include POTW name and NPDES permit number)*

Entry Time/Date

Permit Effective Date

Exit Time/Date

Permit Expiration Date

Name(s) of On-Site Representative(s)/Title(s)/Phone and Fax Numbers

Other Facility Data (e.g., SIC, NAICS, and other descriptive information)

Name, Address of Responsible Official/Title/Phone and Fax Number

Contacted
☐ Yes ☐ No

Section C: Areas Evaluated During Inspection (Check only those areas evaluated)

☐ Permit
☐ **Records/Reports**
☐ Facility Site Review
☐ Effluent/Receiving Waters
☐ Flow Measurement

☐ Self-Monitoring Program
☐ Compliance Schedule
☐ Laboratory
☐ Operations & Maintenance
☐ Sludge Handling/Disposal

☐ Pretreatment
☐ Pollution Prevention
☐ Storm Water
☐ Combined Sewer Overflow
☐ Sanitary Sewer Overflow

☐ MS4

Section D: Summary of Findings/Comments
(Attach additional sheets of narrative and checklists, including Single Event Violation codes, as necessary)

SEV Codes
☐ ☐ ☐ ☐ ☐
☐ ☐ ☐ ☐ ☐
☐ ☐ ☐ ☐ ☐
☐ ☐ ☐ ☐ ☐

SEV Description
_____
_____
_____
_____

Name(s) and Signature(s) of Inspector(s)	Agency/Office/Phone and Fax Numbers	Date
Signature of Management QA Reviewer	Agency/Office/Phone and Fax Numbers	Date

EPA Form 3560-3 (Rev 1-06) Previous editions are obsolete

Section A: National Data System Coding *(i.e., PCS)*

Column 1: Transaction Code: Use N, C, or D for New, Change, or Delete. All inspections will be *new* unless there is an error in the data entered.

Columns 3-11: NPDES Permit No. Enter the facility's NPDES permit number - third character in permit number indicates permit type for U=unpermitted, G=general permit, etc.. *(Use the Remarks columns to record the State permit number, if necessary.)*

Columns 12-17: Inspection Date. Insert the date entry was made into the facility. Use the year/month/day format (e.g., 04/10/01 = October 01, 2004).

Column 18: Inspection Type*. Use one of the codes listed below to describe the type of inspection:

A	Performance Audit	U	IU Inspection with Pretreatment Audit	!	Pretreatment Compliance (Oversight)
B	Compliance Biomonitoring	X	Toxics Inspection	@	Follow-up (enforcement)
C	Compliance Evaluation (non-sampling)	Z	Sludge - Biosolids		
D	Diagnostic	#	Combined Sewer Overflow-Sampling	{	Storm Water-Construction-Sampling
F	Pretreatment (Follow-up)	$	Combined Sewer Overflow-Non-Sampling		
G	Pretreatment (Audit)	+	Sanitary Sewer Overflow-Sampling	}	Storm Water-Construction-Non-Sampling
I	Industrial User (IU) Inspection	&	Sanitary Sewer Overflow-Non-Sampling	:	Storm Water-Non-Construction-Sampling
J	Complaints	\	CAFO-Sampling		
M	Multimedia	=	CAFO-Non-Sampling	~	Storm Water-Non-Construction-Non-Sampling
N	Spill	2	IU Sampling Inspection		
O	Compliance Evaluation (Oversight)	3	IU Non-Sampling Inspection	<	Storm Water-MS4-Sampling
P	Pretreatment Compliance Inspection	4	IU Toxics Inspection	-	Storm Water-MS4-Non-Sampling
R	Reconnaissance	5	IU Sampling Inspection with Pretreatment	>	Storm Water-MS4-Audit
S	Compliance Sampling	6	IU Non-Sampling Inspection with Pretreatment		
		7	IU Toxics with Pretreatment		

Column 19: Inspector Code. Use one of the codes listed below to describe the *lead agency* in the inspection.

A —	State (Contractor)	O—	Other Inspectors, Federal/EPA (Specify in Remarks columns)
B ----	EPA (Contractor)	P—	Other Inspectors, State (Specify in Remarks columns)
E —	Corps of Engineers	R —	EPA Regional Inspector
J —	Joint EPA/State Inspectors—EPA Lead	S —	State Inspector
L ----	Local Health Department (State)	T —	Joint State/EPA Inspectors—State lead
N —	NEIC Inspectors		

Column 20: Facility Type. Use one of the codes below to describe the facility.

1 — Municipal. Publicly Owned Treatment Works (POTWs) with 1987 Standard Industrial Code (SIC) 4952.

2 — Industrial. Other than municipal, agricultural, and Federal facilities.

3 — Agricultural. Facilities classified with 1987 SIC 0111 to 0971.

4 — Federal. Facilities identified as Federal by the EPA Regional Office.

5 — Oil & Gas. Facilities classified with 1987 SIC 1311 to 1389.

Columns 21-66: Remarks. These columns are reserved for remarks at the discretion of the Region.

Columns 67-69: Inspection Work Days. Estimate the total work effort (to the nearest 0.1 work day), up to 99.9 days, that were used to complete the inspection and submit a QA reviewed report of findings. This estimate includes the accumulative effort of all participating inspectors; any effort for laboratory analyses, testing, and remote sensing; and the billed payroll time for travel and pre and post inspection preparation. This estimate does not require detailed documentation.

Column 70: Facility Evaluation Rating. Use information gathered during the inspection (regardless of inspection type) to evaluate the quality of the facility self-monitoring program. Grade the program using a scale of 1 to 5 with a score of 5 being used for very reliable self-monitoring programs, 3 being satisfactory, and 1 being used for very unreliable programs.

Column 71: Biomonitoring Information. Enter D for static testing. Enter F for flow through testing. Enter N for no biomonitoring.

Column 72: Quality Assurance Data Inspection. Enter Q if the inspection was conducted as followup on quality assurance sample results. Enter N otherwise.

Columns 73-80: These columns are reserved for regionally defined information.

Section B: Facility Data

This section is self-explanatory except for "Other Facility Data," which may include new information not in the permit or PCS (e.g., new outfalls, names of receiving waters, new ownership, other updates to the record, SIC/NAICS Codes, Latitude/Longitude).

Section C: Areas Evaluated During Inspection