



STATE OF ALASKA
Department of Environmental Conservation
Division of Spill Prevention & Response
555 Cordova Street
Anchorage, Alaska 99501



NONTANK VESSEL STREAMLINED
OIL DISCHARGE PREVENTION AND CONTINGENCY PLAN
APPLICATION FOR APPROVAL & PLAN DOCUMENT

INSTRUCTIONS

AS 46.04.055 requires any person operating a self-propelled nontank vessel over 400 gross registered tons in Alaska waters to be in compliance with a Department-approved oil discharge prevention and contingency plan covering that vessel. This law applies to many commercial fishing or fish processing vessels, passenger vessels, cargo vessels, cable ships, and liquefied gas carriers (AS 46.04.900(17)). Spill plan requirements for nontank vessels are different from those for tank vessels, oil barges, and public vessels.

The accompanying form is an application for approval of a nontank vessel streamlined plan in accordance with AS 46.04.055 and 18 AAC 75. The form may also be used to amend or renew an existing nontank vessel streamlined plan. An application for a streamlined plan may be made **ONLY** with this form and must be submitted a minimum of 5 days before a vessel named on the plan operates in Alaska waters. An applicant may include any number of vessels in a single plan. Upon approval, the completed form serves as the plan document and, along with an approval letter and certificate, must be kept on board each covered vessel while it is in Alaska waters.

All sections of the form must be completed unless otherwise indicated on the form or in these instructions.

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Vessel name

If the application is for more than one vessel, enter the word "multiple" and the number of vessels on this line.

Application type

Check one box to indicate the application type. Enter the existing streamlined plan number if a renewal or amendment. If an amendment, check all applicable boxes to describe the type of amendment.

Region(s) of Operation

Check all applicable boxes to identify the region(s) in which the vessel(s) may operate. Check "Statewide" if the vessel(s) may operate in all 9 regions. A vessel must have nontank vessel Cleanup Contractor and Incident Management Team coverage for all regions in which it will operate. A map on page 2 of the form shows the locations of region boundaries.

Section A. Planholder Information

1. *Applicant/Planholder details* — Enter the name and contact information for the applicant (existing planholder if renewal or amendment) and check one box describing the applicant type. The applicant must have operational control of the vessel(s) covered by the plan. Operational control is held by "the person who, through contract, lease, sublease, or otherwise, exerts general supervision and control of [the vessel's] activities" – for example, "a prime or general contractor, the master of a vessel and the master's employer, or any other person who, personally or through an agent or contractor, undertakes the general functioning of the [vessel]" (AS46.04.900(15)). A person is defined here as "an individual, public or private corporation, political subdivision, government agency, municipality, industry, partnership, association, firm, trust, estate, or any other entity" (AS46.04.900(17)).
2. *Qualified Individual (QI)* — Defined by US Coast Guard regulation 33CFR155.1026. The QI must have authority to: "1) activate and engage in contracting with oil spill removal organization(s) and other response-related resources identified in the plan; 2) act as a liaison with the predesignated Federal On-Scene Coordinator; and 3) obligate funds required to carry out response activities." For each QI, enter the person's name and the name of the organization in which he/she will function in the role of QI, his/her title within the organization, and contact information. To designate an organization (e.g., a Response Planning Facilitator) to fulfill the role of QI, enter the name of the organization, the title of the position (e.g., Duty Officer) authorized to carry out the QI's responsibilities, and contact information.
3. *Person responsible for spill notification* — Enter the title and contact information for the person or position directly responsible for notifying the Department of a spill incident.

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Section B. Vessel Information

Complete all fields as described below. Use copies of this page to add more vessels to the application, using one page for each vessel.

1. *Vessel Particulars* — For multiple vessels, fill in the fields tracking each vessel against the total number (e.g., "vessel number 2 of 3...").
 - Vessel flag — Enter the nation of registry; do not substitute home port.
 - Unique number — Enter the vessel's 7-digit Lloyd's registration number or IMO number (leave off "IMO" prefix). If the vessel has no Lloyd's/IMO number, enter "N/A."
 - Official number — Enter the vessel's official number issued by the nation of registry.
 - Call sign — Enter the vessel's current radio call sign.
 - Alaska Certificate of Financial Responsibility (COFR) number — Enter the 1 to 4 digit number following the final dash in the certificate number. Example: for COFR number "03-123NT-45-0615-6789", you would enter "6789."
 - Vessel type — Enter a code from the list in the gray box at the bottom of the Vessel Particulars grid that describes the vessel's primary function. If "MISC" is chosen, please give a brief description in the blank next to that code.
 - Gross Registered Tons (GRT) — Enter the "applicable gross tonnage" or "gross tonnage" as determined by the U.S. Coast Guard under 33 CFR 138.30.
 - Length overall, Beam, Draft — Enter vessel dimensions and check the appropriate box indicating whether measured in feet or meters.

2. *Vessel Oil Capacity Information* — **Enter all volumes in barrels.** 1 barrel = 42 U.S. gallons = 0.1590 cubic meters.
- **A) Total Fuel Volume** – Enter the total fuel tankage of the vessel. Do not include lubrication oil.
 - **B) Maximum Fuel Volume in Alaska** – If the demonstrated actual maximum fuel volume that a vessel will carry in Alaska waters is less than A, the Total Fuel Volume, and you want to use this volume to calculate the Response Planning Standard Volume (see next item), you may enter it here. If you will use volume A for the calculation, enter “N/A.”
 - **Response Planning Standard (RPS) Volume** – The RPS is 15% of either volume A or volume B, above. The RPS is the volume of oil that the planholder’s Cleanup Contractor(s) must be able to contain and control within 48 hours of a spill (18 AAC 75.441).
 - **Basis for calculating RPS volume** – Check the box indicating whether you used A, the Total Fuel Volume, or B, the Maximum Fuel Volume in Alaska, to calculate the RPS. **Note:** If volume B is used, the applicant certifies by signing the application that the vessel will not exceed this volume in Alaska waters.
 - **Persistent fuel volume and Non-Persistent fuel volume** – The sum of the Persistent and Non-Persistent fuel volumes should equal the volume of fuel (A or B, above) used as the basis for the RPS calculation. Fuel categories are defined at 33 CFR 155.1020. Non-persistent oil consists of hydrocarbon fractions:
 - of which at least 50% (by volume) distills at a temperature of 340 degrees C (or 645 degrees F); and
 - of which at least 95% (by volume) distills at a temperature of 370 degrees C (or 700 degrees F).
 Typical non-persistent oils include MGO, MDO, kerosene, no. 2 diesel, aviation gasoline, and hydraulic fluids. Persistent oils do not meet the distillation criteria for non-persistent oils. Typical persistent oils include IFO 180, bunkers, heavy fuel oil, and NSFO.
 - **Lubrication oil volume** – Total volume of all lubrication oils.
3. *Vessel Principals* — Enter the names and addresses of the vessel’s owner and operator. If “owner” or “operator” is checked for the applicant type in section A(1), the owner or operator named in this section must be the same entity as the applicant.
4. *Vessel Diagrams* — The application must be accompanied by a vessel diagram that clearly identifies the location of each fuel and lube oil storage tank and other features pertinent to an emergency response (a single diagram may be submitted for sister ships). The size, storage capacity, and type of oil carried must be specified for each tank. Diagrams must be 8½ x 11 inches or larger, and all required information must be clearly legible. **A vessel will not be approved without an adequate diagram.**
- Emergency Contact for Detailed Vessel Diagrams* — Enter the name, address, and 24-hour phone number for an emergency contact who can supply detailed information pertinent to emergency response operations, including diagrams showing the locations of: personnel quarters and emergency exits; fuel piping and valves; tanks and tank access points; internal or portable pumps on board; and emergency shutdown switches (18 AAC 75.426(8)).
- *Reference* — The map shows the 9 coastal regions of operation described at 18 AAC 75.496; for use in completing page 1.

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Section C. Contractor Information

1. *Application submitted by* – Check one box to indicate whether the application is being submitted by the applicant or a Response Planning Facilitator (RPF). An applicant should skip section C(2) and complete the remainder of the application starting with C(3). **All RPF’s must complete section C(2).**
2. *RPF type* – To be completed by a **RPF only**. Check box A if you are providing services under 18 AAC 75.428(a)(1) (“type 1” RPF), acting as an intermediary between the applicant and nontank vessel cleanup contractor(s) and incident management team(s) in order to facilitate submitting the streamlined plan. If you check box A, complete the rest of C(2) [you do not need to provide a 24-hour phone number], then complete the remainder of the application, including C(3).
Check box B if you are providing services under 18 AAC 75.428(a)(2) (“type 2” RPF) and have entered into a contract with the applicant to meet the requirements of 18AAC75.400 – 18AAC75.496 by contracting with one or more nontank vessel cleanup contractor(s) and incident management team(s) to respond on behalf of the applicant. If you check box B, complete the rest of C(2), skip C(3), then complete the remainder of the application.
3. *Nontank Vessel Cleanup Contractor(s) and Incident Management Team(s)* – This section **must** be completed **unless** the application is submitted by a “type 2” RPF acting under 18 AAC 75.428(a)(2). Enter information for every nontank vessel Cleanup Contractor and Incident Management Team that has been contracted to respond on behalf of the applicant. In the “Regions covered” column, list only the regions a contractor is covering **for this plan**. Only Cleanup Contractors and Incident Management Teams that are registered with the Department for the appropriate fuel volume classification and region(s) of operation may be listed.

Section D. Certification

The application must be signed by an individual with authority to certify the contents of the application and commit the resources necessary to implement the plan. This individual may be the applicant, a principal of the applicant, an authorized agent for the applicant, or an official of the applicant. A RPF acting under 18 AAC 75.428(a)(2) may sign the application as an authorized agent on behalf of the applicant.

Please submit the application to the Department of Environmental Conservation at the address shown at the top of the application form and these instructions. Complete, signed applications may also be submitted electronically via facsimile or email. Please contact staff in the Department’s Nontank Vessels Group with questions about nontank vessel spill plans:

Marine Vessels Section/Nontank Vessels Group

Phone: 907-269-7681

FAX: 907-269-8403

For information about **financial responsibility requirements** for nontank vessels, please call our Juneau office at 907-465-5283.

Additional information about nontank vessel spill planning and financial responsibility regulations, as well as application forms, may be found on the internet at <http://www.dec.state.ak.us/spar/ipp/marine.htm>.