### Technical

**Operator Certification**
- Utility has more than one operator certified to the level of the water system: 10
- Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution: 7
- Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator: 5
- Utility has one or more operators certified at some level in water treatment or distribution: 3
- Utility has no certified operators: 0

**System Classification**: Water Treatment 2

**Primary Operator**: John Carter
- Certification Level: Operator holds no current certification

**Backup Operator**: Theodosius Paul
- Certification Level: Small Treated

**Preventive Maintenance Plan**
- Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified: 25 (15)
- Utility has a written PM plan; performance of PM and record keeping are not consistent: 15
- Utility has no PM plan or performs no PM: 0

**Compliance**
- Utility had no Monitoring and Reporting violations during the past year: 10 (0)
- Utility had up to five Monitoring and Reporting violations during the past year: 5
- Utility had more than five Monitoring and Reporting violations during the last year: 0

**33 Monitoring and Reporting Violations in 2017**

**Utility Management Training**
- A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years: 5 (5)

**Attendee**: John Carter
- **Course**: Financial Management
- **Date**: 3/20/2015

**Managerial**
- **Meetings of the Governing Body**
  - The utility owner’s governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator: 5
  - The utility owner’s governing body meets routinely consistent with the local ordinance/bylaw requirements: 2
  - The utility owner’s governing body does not meet: 0

**Budget**
- Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body: 15 (0)
- Either the Utility or the Utility owner has adopted and implemented a budget, the other has not: 13
- Either the Utility or the Utility owner has adopted a budget, but it is not being implemented: 10
- Utility owner and the Utility have not adopted a budget: 0

**Revenue**
- Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account: 20 (0)
- Utility is collecting revenue sufficient to cover expenses: 15
- Utility has a fee schedule and a collection policy that is followed: 5
- Utility has no fee structure or collection policy: 0

**Worker’s Compensation Insurance**
- Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place: 5 (0)
- Utility has a current worker’s compensation policy in place for all employees: 2
- Utility has no worker’s compensation policy: 0

**Payroll Liability Compliance**
- Utility has no past due tax liabilities and is current with all tax obligations: 5
- Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations: 2
- Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed: 0

**CIP O&M Score**: 0
**SDS O&M Score**: 4
**TOTAL SCORE**: 23