

2017 Best Practices Score

Kotzebue

August 22, 2017

Category	O&M Scoring Criteria	Possible	Score					
Operator Certification	Utility has more than one operator certified to the level of the water system	10	7					
	Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution	7						
	Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator	5						
	Utility has one or more operators certified at some level in water treatment or distribution	3						
	Utility has no certified operators	0						
Technical	System Classification: Water Treatment 3 Primary Operator: <i>Matthew Lazarus</i> Certification Level: <i>WT 4</i> Backup Operator: <i>Olaf Walker</i> Certification Level: <i>WT 2</i>							
	Preventive Maintenance Plan	Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified	25	25				
		Utility has a written PM plan; performance of PM and record keeping are not consistent	15					
		Utility has no PM plan or performs no PM	0					
	Compliance	Utility had no Monitoring and Reporting violations during the past year	10	5				
		Utility had up to five Monitoring and Reporting violation during the past year	5					
		Utility had more than five Monitoring and Reporting violation during the last year	0					
	<i>1 Monitoring and Reporting Violations in 2016</i>							
	Managerial	Utility Management Training	A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years	5	5			
		Attendee: <i>Shawn Gilman</i> Course: <i>Operations</i> Date: <i>12/15/2016</i>						
Meetings of the Governing Body		The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator	5	5				
	The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements	2						
	The utility owner's governing body does not meet	0						
Financial	Budget	Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body	15	15				
		Either the Utility or the Utility owner has adopted and implemented a budget, the other has not	13					
		Either the Utility or the Utility owner has adopted a budget, but it is not being implemented	10					
		Utility owner and the Utility have not adopted a budget	0					
	Revenue	Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account	20	15				
		Utility is collecting revenue sufficient to cover expenses	15					
		Utility has a fee schedule and a collection policy that is followed	5					
		Utility has no fee structure or collection policy	0					
	Worker's Compensation Insurance	Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place	5	5				
		Utility has a current worker's compensation policy in place for all employees	2					
		Utility has no worker's compensation policy	0					
	Payroll Liability Compliance	Utility has no past due tax liabilities and is current with all tax obligations	5	5				
Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations		2						
Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed		0						
CIP O&M Score		27	SDS O&M Score		14	TOTAL SCORE		87