<table>
<thead>
<tr>
<th>Category</th>
<th>O&amp;M Scoring Criteria</th>
<th>Possible</th>
<th>Score</th>
<th>Explanation of Score</th>
<th>How to Improve Score</th>
<th>Contact</th>
</tr>
</thead>
<tbody>
<tr>
<td>Operator Certification</td>
<td>Utility has more than one operator certified to the level of the water system</td>
<td>10</td>
<td>0</td>
<td>System Classification: Water Treatment 2</td>
<td>Darren Johnson and Danny Johnson need to take and pass the WTI exam. Please see the enclosed flier with more information about certification.</td>
<td>ADEC Operator Certification Program 465-1139</td>
</tr>
<tr>
<td></td>
<td>Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution</td>
<td>7</td>
<td>0</td>
<td>Primary Operator: Darren Johnson Certification Level: Operator holds no current certification</td>
<td>To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.</td>
<td>Matt Bradbury ADEC RMW Program 269-3067</td>
</tr>
<tr>
<td></td>
<td>Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator</td>
<td>5</td>
<td>0</td>
<td>Backup Operator: Danny Johnson Certification Level: Operator holds no current certification</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has one or more operators certified at some level in water treatment or distribution</td>
<td>3</td>
<td>0</td>
<td>Darren Johnson and Danny Johnson hold no certifications.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has no certified operators</td>
<td>0</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Preventive Maintenance Plan</td>
<td>Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified</td>
<td>25</td>
<td>15</td>
<td>The utility is not performing the required maintenance or isn't keeping records of maintenance.</td>
<td>To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.</td>
<td>Leah Vansandt ADEC Drinking Water Program 269-7653</td>
</tr>
<tr>
<td></td>
<td>Utility has a written PM plan; performance of PM and record keeping are not consistent</td>
<td>15</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has no PM plan or performs no PM</td>
<td>0</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility had no Monitoring and Reporting violations during the past year</td>
<td>10</td>
<td>0</td>
<td>The utility had 18 Drinking Water Monitoring and Reporting violations in 2018.</td>
<td>The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility had up to five Monitoring and Reporting violation during the past year</td>
<td>5</td>
<td>0</td>
<td></td>
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<td></td>
</tr>
<tr>
<td></td>
<td>Utility had more than five Monitoring and Reporting violation during the last year</td>
<td>0</td>
<td>0</td>
<td></td>
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</tr>
<tr>
<td>Compliance</td>
<td>A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years</td>
<td>5</td>
<td>5</td>
<td>Lila Johnson attended Clerks training on 9/21/2018.</td>
<td>The utility owner's governing body needs to meet according to local ordinance and submit minutes to RUBA. The meeting minutes should document that a report was made by the operator to the governing board.</td>
<td>Jedd Cox DCRA RUBA Program 269-4549</td>
</tr>
<tr>
<td></td>
<td>The utility owner’s governing body meets consistently with the local ordinance/bylaw requirements and receives a current report from the operator</td>
<td>5</td>
<td>0</td>
<td>Meeting minutes were not provided to RUBA staff to indicated meetings are being held as required.</td>
<td>To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.</td>
<td>Jedd Cox DCRA RUBA Program 269-4549</td>
</tr>
<tr>
<td></td>
<td>The utility owner’s governing body meets consistently with the local ordinance/bylaw requirements</td>
<td>2</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>The utility owner’s governing body does not meet</td>
<td>0</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Meetings of the Governing Body</td>
<td>Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body</td>
<td>15</td>
<td>0</td>
<td>A current budget was not provided to RUBA staff.</td>
<td>Provide RUBA with an adopted, realistic budget. Provide RUBA with monthly financial reports and meeting minutes that demonstrate the council is reviewing the monthly financial reports.</td>
<td>Jedd Cox DCRA RUBA Program 269-4549</td>
</tr>
<tr>
<td></td>
<td>Either the Utility or the Utility owner has adopted and implemented a budget, the other has not</td>
<td>13</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Either the Utility or the Utility owner has adopted a budget, but it is not being implemented</td>
<td>10</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Budget</td>
<td>Utility owner and the Utility have not adopted a budget</td>
<td>0</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>The utility is collecting revenue sufficient to cover the Utility’s operating expenses and to contribute to a repair and replacement account</td>
<td>20</td>
<td>0</td>
<td>A collection policy, fee schedule, or supporting financial documents were not provided to RUBA staff.</td>
<td>Provide RUBA with the utility's fee schedule, collection policy and monthly financial reports. RUBA can assist in developing these if none exist.</td>
<td>Jedd Cox DCRA RUBA Program 269-4549</td>
</tr>
<tr>
<td></td>
<td>Utility is collecting revenue sufficient to cover expenses</td>
<td>15</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has a fee schedule and a collection policy that is followed</td>
<td>5</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has no fee schedule or collection policy</td>
<td>0</td>
<td>0</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Revenue</td>
<td>Utility had a worker’s compensation policy for all employees for the past two years and has a current policy in place</td>
<td>5</td>
<td>5</td>
<td>RUBA has verified that the utility has had a current Worker's Compensation Policy in place for all employees for at least two years.</td>
<td>Full points have been awarded. Maintain active Worker’s Compensation policy to continue receiving these points.</td>
<td>Jedd Cox DCRA RUBA Program 269-4549</td>
</tr>
<tr>
<td></td>
<td>Utility has a current worker’s compensation policy in place for all employees</td>
<td>2</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has no worker’s compensation policy</td>
<td>0</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Worker’s Compensation Insurance</td>
<td>Utility has no past due tax liabilities and is current with all tax obligations</td>
<td>5</td>
<td>0</td>
<td></td>
<td>To receive additional points in this category, the tribe must either become current on all outstanding tax liabilities, or must enter into a repayment agreement for outstanding tax liability, and remain current on payments.</td>
<td>Matt Bradbury ADEC RMW Program 269-3067</td>
</tr>
<tr>
<td></td>
<td>Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up to date with all other tax obligations</td>
<td>2</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Payroll Liability Compliance</td>
<td>Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed</td>
<td>0</td>
<td>0</td>
<td></td>
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<td></td>
</tr>
</tbody>
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<table>
<thead>
<tr>
<th>CIP O&amp;M Score</th>
<th>SDS O&amp;M Score</th>
<th>TOTAL SCORE</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>5</td>
<td>30</td>
</tr>
</tbody>
</table>