

Best Practices Score
Kongiganak
FALL 2019

Category		O&M Scoring Criteria		Possible	Score	Explanation of Score	How to Improve Score	Contact
Technical	Operator Certification	Utility has more than one operator certified to the level of the water system		10	3	System Classification: Water Treatment 2 Primary Operator: <i>John Phillip</i> Certification Level: <i>Small Treated</i> Backup Operator: <i>Paul Paul</i> Certification Level: <i>Small Treated</i> John Phillip and Paul Paul hold certification but not at the correct level. Jason Phillip holds no certification.	John Phillip, Paul Paul, and Jason Phillip need to take and pass the WT1 exam. John Philip has the required CEUs and needs to renew now. Paul Paul has the required CEUs to renew in 2021. Please see enclosed flier with more information about certification.	ADEC Operator Certification Program 465-1139
		Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution		7				
		Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator		5				
		Utility has one or more operators certified at some level in water treatment or distribution		3				
		Utility has no certified operators		0				
	Preventive Maintenance Plan	Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified		25	15	The utility is not performing the required maintenance or isn't keeping records of maintenance.	To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.	Allan Paukan YKHC RMW 438-2024
		Utility has a written PM plan; performance of PM and record keeping are not consistent		15				
		Utility has no PM plan or performs no PM		0				
	Compliance	Utility had no Monitoring and Reporting violations during the past year		10	0	The utility had 52 Drinking Water Monitoring and Reporting violations in 2018.	The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.	Kalah Statz ADEC Drinking Water Program 269-7647
		Utility had up to five Monitoring and Reporting violation during the past year		5				
		Utility had more than five Monitoring and Reporting violation during the last year		0				
Managerial	Utility Management Training	A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years		5	5	Samantha Kinegak attended Financial training on 2/22/19.	To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.	Eli Jacobson DCRA RUBA Program 543-3475
	Meetings of the Governing Body	The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator		5	2	The tribe provided meeting minutes from December 2018, January - May 2019. However, the meeting minutes do not record reports from the utility operator.	To receive additional points, provide RUBA with meeting minutes that demonstrate that the operator is providing a report to the council.	
		The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements		2				
		The utility owner's governing body does not meet		0				
Financial	Budget	Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body		15	0	The tribe has not provided verification of the adoption of its budget either by minutes or resolution.	Provide RUBA with an adopted, realistic budget. Provide RUBA with monthly financial reports and meeting minutes that demonstrate the council is reviewing the monthly financial reports.	
		Either the Utility or the Utility owner has adopted and implemented a budget, the other has not		13				
		Either the Utility or the Utility owner has adopted a budget, but it is not being implemented		10				
		Utility owner and the Utility have not adopted a budget		0				
	Revenue	Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account		20	0	The tribe is not collecting adequate revenue to cover water and sewer expenditures according to the May 2019 financial report.	Provide RUBA with the utility's fee schedule, collection policy and monthly financial reports. RUBA can assist in developing these if none exist.	
		Utility is collecting revenue sufficient to cover expenses		15				
		Utility has a fee schedule and a collection policy that is followed		5				
		Utility has no fee structure or collection policy		0				
	Worker's Compensation Insurance	Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place		5	2	RUBA has verified that the utility has a current Worker's Compensation Policy in place for all employees.	The utility must maintain worker's compensation insurance for two consecutive years to receive additional points.	
		Utility has a current worker's compensation policy in place for all employees		2				
		Utility has no worker's compensation policy		0				
	Payroll Liability Compliance	Utility has no past due tax liabilities and is current with all tax obligations		5	0	On 7/5/19 the IRS deemed Kongiganak Traditional Council not compliant on federal taxes for the last quarter of 2018 and the first quarter of 2019. On 7/8/19 ESC was verified as compliant.	To receive additional points in this category, the utility must either become current on all outstanding reports and tax liabilities, or must enter into a repayment agreement for outstanding tax liability, and remain current on payments.	
		Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations		2				
Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed		0						
CIP O&M Score		0	SDS O&M Score	4	TOTAL SCORE		27	