<table>
<thead>
<tr>
<th>Category</th>
<th>O&amp;M Scoring Criteria</th>
<th>Possible</th>
<th>Score</th>
<th>Explanation of Score</th>
<th>How to Improve Score</th>
<th>Contact</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Operator Certification</strong></td>
<td>Utility has more than one operator certified to the level of the water system</td>
<td>10</td>
<td>10</td>
<td>System Classification: Water Treatment 2</td>
<td>The full points have been awarded in this category. Operators should continue to pursue the required continuing education and be prepared to renew certifications when necessary.</td>
<td>ADEC Operator Certification Program 465-1139</td>
</tr>
<tr>
<td></td>
<td>Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution</td>
<td>7</td>
<td></td>
<td>System Classification: Water Treatment 2</td>
<td></td>
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</tr>
<tr>
<td></td>
<td>Driver has no PM plan or performs no PM</td>
<td>0</td>
<td></td>
<td>System Classification: Water Treatment 2</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has no certified operators</td>
<td>0</td>
<td></td>
<td>System Classification: Water Treatment 2</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Preventive Maintenance Plan</strong></td>
<td>Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified</td>
<td>25</td>
<td>15</td>
<td>The utility is not performing the required maintenance or isn’t keeping records of maintenance.</td>
<td>To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.</td>
<td>John Johnson 269-7605</td>
</tr>
<tr>
<td></td>
<td>Utility has a written PM plan; performance of PM and record keeping are not consistent</td>
<td>15</td>
<td></td>
<td></td>
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<tr>
<td></td>
<td>Utility had no Monitoring and Reporting violations during the past year</td>
<td>0</td>
<td></td>
<td></td>
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</tr>
<tr>
<td><strong>Compliance</strong></td>
<td>Utility had up to five Monitoring and Reporting violations during the past year</td>
<td>5</td>
<td>5</td>
<td>The utility had 2 Drinking Water Monitoring and Reporting violations in 2019. Excellent job - keep up the good work!</td>
<td>The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.</td>
<td>Dawnie Bodyfelt 451-2170</td>
</tr>
<tr>
<td></td>
<td>Utility had more than five Monitoring and Reporting violations during the last year</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td><strong>Utility Management Training</strong></td>
<td>A person who holds a position of responsibility for management has completed a DCRA approved Utility Management course or other utility management training course within the last five years</td>
<td>5</td>
<td>0</td>
<td>No one associated with the utility has attended a RUBA training in the past five years.</td>
<td>To receive additional points, provide RUBA with meeting minutes that demonstrate the council is providing a report to the council.</td>
<td>Eli Jacobson 543-3475</td>
</tr>
<tr>
<td></td>
<td>The utility owner’s governing body meets routinely consistent with the local ordinance/ bylaw requirements and receives a current report from the operator</td>
<td>5</td>
<td>0</td>
<td>Bourough’s website includes minutes documenting three regular meetings held within the past six months. Minutes do not identify whether utility department operator or manager provided a report.</td>
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<tr>
<td></td>
<td>The utility owner’s governing body routinely consistent with the local ordinance/ bylaw requirements</td>
<td>2</td>
<td></td>
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</tr>
<tr>
<td><strong>Meetings of the Governing Body</strong></td>
<td>The utility owner’s governing body does not meet the local ordinance/ bylaw requirements</td>
<td>0</td>
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<tr>
<td><strong>Budget</strong></td>
<td>Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body</td>
<td>15</td>
<td>10</td>
<td>NSB has adopted what seems to be a realistic budget for the water/sewer utilities, but financial reports have not been provided to the RUBA program.</td>
<td>Provide RUBA with monthly financial reports and meeting minutes that demonstrate the council is consistently reviewing accurate monthly financial reports.</td>
<td>John Johnson 269-7605</td>
</tr>
<tr>
<td></td>
<td>The utility owner’s governing body does not meet the local ordinance/ bylaw requirements</td>
<td>2</td>
<td></td>
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<tr>
<td></td>
<td>The utility owner has adopted and implemented a budget, the other has not</td>
<td>13</td>
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<tr>
<td></td>
<td>Either the Utility or the Utility owner has adopted a budget, but it is not being implemented</td>
<td>10</td>
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<tr>
<td><strong>Revenue</strong></td>
<td>Utility owner and the Utility have not adopted a budget</td>
<td>0</td>
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<tr>
<td></td>
<td>Utility is collecting revenue sufficient to cover the Utility’s operating expenses and to contribute to a repair and replacement account</td>
<td>20</td>
<td>0</td>
<td>The North Slope Borough has funding available, however funding information for this specific utility has not been presented to RUBA staff.</td>
<td>Provide RUBA with monthly financial reports.</td>
<td>ADEC Operator Certification Program 465-1139</td>
</tr>
<tr>
<td></td>
<td>Utility is collecting revenue sufficient to cover expenses</td>
<td>15</td>
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<td></td>
<td>Utility has a fee schedule and a collection policy that is followed</td>
<td>5</td>
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<tr>
<td><strong>Worker’s Compensation Insurance</strong></td>
<td>Utility has a fee schedule or collection policy</td>
<td>0</td>
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<tr>
<td></td>
<td>Utility has had a worker’s compensation policy for all employees for the past two years and has a current policy in place</td>
<td>5</td>
<td>5</td>
<td>Current policy verified 1/17/2020.</td>
<td>Full points have been awarded. Maintain active Worker’s Compensation Policy to continue receiving these points.</td>
<td>John Johnson 269-7605</td>
</tr>
<tr>
<td></td>
<td>Utility has a current worker’s compensation policy in place for all employees</td>
<td>2</td>
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<tr>
<td></td>
<td>Utility has no worker’s compensation policy</td>
<td>0</td>
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<tr>
<td><strong>Payroll Liability Compliance</strong></td>
<td>Utility has no past due tax liabilities and is current with all tax obligations</td>
<td>5</td>
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<tr>
<td></td>
<td>Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations</td>
<td>2</td>
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<tr>
<td></td>
<td>Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed</td>
<td>0</td>
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<tr>
<td><strong>TOTAL SCORE</strong></td>
<td></td>
<td><strong>45</strong></td>
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</tbody>
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