<table>
<thead>
<tr>
<th>Category</th>
<th>O&amp;M Scoring Criteria</th>
<th>Possible</th>
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</thead>
<tbody>
<tr>
<td><strong>Operator Certification</strong></td>
<td>Utility has more than one operator certified to the level of the water system</td>
<td>10</td>
<td>0</td>
<td>System Classification: Water Treatment 2</td>
<td>To receive the full points in this category, the utility must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.</td>
<td>Jeffery Nayokpuk, Bert Iyatunguk and John Weyiouanna need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.</td>
</tr>
<tr>
<td></td>
<td>Primary operator is certified to the level of the water system and the backup operator holds no certification in water treatment or distribution</td>
<td>7</td>
<td>0</td>
<td>Primary Operator: Jeffery Nayokpuk Certification Level: Operator holds no correct certification</td>
<td>To receive the full points in this category, the utility must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.</td>
<td>Jeffery Nayokpuk, Bert Iyatunguk and John Weyiouanna hold no certifications.</td>
</tr>
<tr>
<td></td>
<td>Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator</td>
<td>5</td>
<td>0</td>
<td>Backup Operator: Bert Iyatunguk Certification Level: Operator holds no current certification</td>
<td>To receive the full points in this category, the utility must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.</td>
<td>Richard Kuzuguk NSHC RMW 443-4584</td>
</tr>
<tr>
<td></td>
<td>Utility has one or more operators certified at some level in water treatment or distribution</td>
<td>3</td>
<td>0</td>
<td></td>
<td>To receive the full points in this category, the utility must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.</td>
<td>Richard Kuzuguk NSHC RMW 443-4584</td>
</tr>
<tr>
<td></td>
<td>Utility has no certified operators</td>
<td>0</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Preventive Maintenance Plan</strong></td>
<td>Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified</td>
<td>25</td>
<td>15</td>
<td>The utility is not performing the required maintenance or isn’t keeping records of maintenance.</td>
<td>To receive the full points in this category, the utility must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.</td>
<td>To receive the full points in this category, the utility must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.</td>
</tr>
<tr>
<td></td>
<td>Utility has a written PM plan; performance of PM and record keeping are not consistent</td>
<td>15</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has no PM plan or performs no PM</td>
<td>0</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility had no Monitoring and Reporting violations during the past year</td>
<td>10</td>
<td>0</td>
<td>The utility had 8 Drinking Water Monitoring and Reporting violations in 2019.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility had up to five Monitoring and Reporting violation during the past year</td>
<td>5</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility had more than five Monitoring and Reporting violation during the last year</td>
<td>0</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Compliance</strong></td>
<td>Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body</td>
<td>15</td>
<td>10</td>
<td>No budget amendments. Missing department expenditure sheets.</td>
<td>Provide RUBA with a budget amendment, monthly financial reports and meeting minutes that demonstrate the council is consistently reviewing accurate monthly financial reports.</td>
<td>Lena Mathlaw DCRA RUBA Program 443-5457</td>
</tr>
<tr>
<td></td>
<td>The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator</td>
<td>5</td>
<td>0</td>
<td>No meeting minutes submitted.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements</td>
<td>2</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>The utility owner's governing body does not meet</td>
<td>0</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Utility Management Training</strong></td>
<td>A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years</td>
<td>5</td>
<td>0</td>
<td>No one associated with the utility has attended a RUBA training in the past five years.</td>
<td>RUBA provides free training several times per year. Someone who works with the utility should take one of the courses as soon as possible.</td>
<td></td>
</tr>
<tr>
<td><strong>Meetings of the Governing Body</strong></td>
<td>The utility owner's governing body meets consistently with the local ordinance/bylaw requirements and receives a current report from the operator</td>
<td>5</td>
<td>0</td>
<td>No meeting minutes submitted.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>The utility owner's governing body meets consistently with the local ordinance/bylaw requirements</td>
<td>2</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Budget</strong></td>
<td>Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body</td>
<td>15</td>
<td>0</td>
<td></td>
<td>Provide RUBA with a budget amendment, monthly financial reports and meeting minutes that demonstrate the council's commitment to transparent and responsible budgeting.</td>
<td>Provide RUBA with a budget amendment, monthly financial reports and meeting minutes that demonstrate the council is consistently reviewing accurate monthly financial reports.</td>
</tr>
<tr>
<td></td>
<td>Either the Utility or the Utility owner has adopted and implemented a budget, the other has not</td>
<td>13</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>The utility owner's governing body does not meet</td>
<td>0</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Financial</strong></td>
<td>Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account</td>
<td>20</td>
<td>0</td>
<td>No monthly financial reports submitted.</td>
<td>To receive additional points, the utility needs to provide monthly financial reports in cash basis to RUBA staff and demonstrate sufficient revenue and/or subsidy to cover the utility’s expenses.</td>
<td>Lena Mathlaw DCRA RUBA Program 443-5457</td>
</tr>
<tr>
<td></td>
<td>Utility is collecting revenue sufficient to cover expenses</td>
<td>15</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has a fee schedule and a collection policy that is followed</td>
<td>10</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has no fee structure or collection policy</td>
<td>0</td>
<td>0</td>
<td></td>
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</tr>
<tr>
<td><strong>Worker's Compensation Insurance</strong></td>
<td>Utility has a worker's compensation policy for all employees for the past two years and has a current policy in place</td>
<td>5</td>
<td>5</td>
<td>Policy verified on 6/11/2020</td>
<td>Full points have been awarded. Maintain active Worker's Compensation policy to continue receiving these points.</td>
<td>Full points have been awarded. Maintain active Worker's Compensation policy to continue receiving these points.</td>
</tr>
<tr>
<td></td>
<td>Utility has a current worker's compensation policy in place for all employees</td>
<td>2</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has no worker's compensation policy</td>
<td>0</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Payroll Liability Compliance</strong></td>
<td>Utility has no past due tax liabilities and is current with all tax obligations</td>
<td>5</td>
<td>0</td>
<td></td>
<td>To receive additional points in this category, the utility must either become current on all outstanding tax liabilities, or must enter into a repayment agreement for outstanding tax liability, and remain current on payments.</td>
<td>To receive additional points in this category, the utility must either become current on all outstanding tax liabilities, or must enter into a repayment agreement for outstanding tax liability, and remain current on payments.</td>
</tr>
<tr>
<td></td>
<td>Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed</td>
<td>2</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>0</td>
<td>0</td>
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</table>

**Best Practices Score**

**Shishmaref**

**FALL 2020**

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**CIP O&M Score** 0  **SDS O&M Score** 5  **TOTAL SCORE** 30