<table>
<thead>
<tr>
<th>Category</th>
<th>O&amp;M Scoring Criteria</th>
<th>Possible</th>
<th>Score</th>
<th>Explanation of Score</th>
<th>How to Improve Score</th>
<th>Contact</th>
</tr>
</thead>
<tbody>
<tr>
<td>Operator Certification</td>
<td>Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution</td>
<td>10</td>
<td>7</td>
<td>System Classification: Water Treatment 1 Primary Operator: Morgan Harris</td>
<td>Backup Operator: Donovan Albert Certification Level: Operator holds no current certification</td>
<td>Morgan Harris, Donovan Albert, and Dewain Dayton need to take and pass the WT 1 exam. Please see enclosed flyer with more information about certification.</td>
</tr>
<tr>
<td></td>
<td>Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator</td>
<td>5</td>
<td>5</td>
<td>Backup Operator: Donovan Albert Certification Level: Operator holds no current certification</td>
<td>Morgan Harris, Donovan Albert, and Dewain Dayton hold no certifications.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has one or more operators certified at some level in water treatment or distribution</td>
<td>3</td>
<td>3</td>
<td>Backup Operator: Donovan Albert Certification Level: Operator holds no current certification</td>
<td>Morgan Harris, Donovan Albert, and Dewain Dayton hold no certifications.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has no certified operators</td>
<td>0</td>
<td>0</td>
<td>Backup Operator: Donovan Albert Certification Level: Operator holds no current certification</td>
<td>Morgan Harris, Donovan Albert, and Dewain Dayton hold no certifications.</td>
<td></td>
</tr>
<tr>
<td>Preventive Maintenance Plan</td>
<td>Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified</td>
<td>25</td>
<td>15</td>
<td>The utility is not performing the required maintenance or isn't keeping records of maintenance.</td>
<td>To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.</td>
<td>Fred Withrow TC RWM 452-8251 ext. 3267</td>
</tr>
<tr>
<td>Compliance</td>
<td>Utility has a written PM plan; performance of PM and record keeping are not consistent</td>
<td>15</td>
<td>5</td>
<td>The utility had 5 Drinking Water Monitoring and Reporting violations in 2021.</td>
<td>The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.</td>
<td>Teslyn Visscher ADEC Drinking Water Program 451-3038</td>
</tr>
<tr>
<td></td>
<td>Utility has no PM plan or performs PM</td>
<td>0</td>
<td>0</td>
<td>Utility has no PM plan or performs PM</td>
<td>Utility has no PM plan or performs PM</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility had no Monitoring and Reporting violations during the past year</td>
<td>10</td>
<td>5</td>
<td>Utility had no Monitoring and Reporting violations during the past year</td>
<td>Utility had no Monitoring and Reporting violations during the past year</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility had up to five Monitoring and Reporting violations during the past year</td>
<td>5</td>
<td>5</td>
<td>The utility had 5 Drinking Water Monitoring and Reporting violations in 2021.</td>
<td>The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.</td>
<td>Teslyn Visscher ADEC Drinking Water Program 451-3038</td>
</tr>
<tr>
<td></td>
<td>Utility had more than five Monitoring and Reporting violation during the last year</td>
<td>0</td>
<td>0</td>
<td>Utility had more than five Monitoring and Reporting violation during the last year</td>
<td>Utility had more than five Monitoring and Reporting violation during the last year</td>
<td></td>
</tr>
<tr>
<td>Utility Management Training</td>
<td>A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years</td>
<td>5</td>
<td>5</td>
<td>Jo Ann Malamute attended QuickBooks training on 10/21/2019.</td>
<td>RUBA provides free training several times per year. Someone who works with the utility should take one of the courses as soon as possible.</td>
<td></td>
</tr>
<tr>
<td>Meetings of the Governing Body</td>
<td>The utility owner’s governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator</td>
<td>5</td>
<td>5</td>
<td>The community submitted three meeting minutes that included an operator report.</td>
<td>The community submitted three meeting minutes that included an operator report.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>The utility owner’s governing body meets consistently with the local ordinance/bylaw requirements</td>
<td>2</td>
<td>2</td>
<td>The community submitted three meeting minutes that included an operator report.</td>
<td>The community submitted three meeting minutes that included an operator report.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>The utility owner’s governing body does not meet</td>
<td>0</td>
<td>0</td>
<td>The community submitted three meeting minutes that included an operator report.</td>
<td>The community submitted three meeting minutes that included an operator report.</td>
<td></td>
</tr>
<tr>
<td>Budget</td>
<td>Utility and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body</td>
<td>15</td>
<td>10</td>
<td>An FY 21 budget was submitted and passed by the council.</td>
<td>Provide RUBA with monthly financial reports and meeting minutes that demonstrate the council is consistently reviewing accurate monthly financial reports.</td>
<td>Brendan Smyth DCRA RUBA Program 451-2744</td>
</tr>
<tr>
<td></td>
<td>Either the Utility or the Utility owner has adopted a budget, the other has not</td>
<td>13</td>
<td>13</td>
<td>An FY 21 budget was submitted and passed by the council.</td>
<td>Provide RUBA with monthly financial reports and meeting minutes that demonstrate the council is consistently reviewing accurate monthly financial reports.</td>
<td>Brendan Smyth DCRA RUBA Program 451-2744</td>
</tr>
<tr>
<td></td>
<td>Either the Utility or the Utility owner has adopted a budget, but it is not being implemented</td>
<td>10</td>
<td>10</td>
<td>An FY 21 budget was submitted and passed by the council.</td>
<td>Provide RUBA with monthly financial reports and meeting minutes that demonstrate the council is consistently reviewing accurate monthly financial reports.</td>
<td>Brendan Smyth DCRA RUBA Program 451-2744</td>
</tr>
<tr>
<td></td>
<td>Utility owner and the Utility have not adopted a budget</td>
<td>0</td>
<td>0</td>
<td>An FY 21 budget was submitted and passed by the council.</td>
<td>Provide RUBA with monthly financial reports and meeting minutes that demonstrate the council is consistently reviewing accurate monthly financial reports.</td>
<td>Brendan Smyth DCRA RUBA Program 451-2744</td>
</tr>
<tr>
<td>Revenue</td>
<td>Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account</td>
<td>20</td>
<td>0</td>
<td>No policy was provided to confirm the utility has a fee system in place for the utility.</td>
<td>To receive additional points, the utility needs to provide a collection policy and monthly financial reports in cash basis to RUBA staff that demonstrate sufficient revenue and/or subsidy to cover the utility's expenses.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility is collecting revenue sufficient to cover expenses</td>
<td>15</td>
<td>15</td>
<td>No policy was provided to confirm the utility has a fee system in place for the utility.</td>
<td>To receive additional points, the utility needs to provide a collection policy and monthly financial reports in cash basis to RUBA staff that demonstrate sufficient revenue and/or subsidy to cover the utility's expenses.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has a fee schedule and a collection policy that is followed</td>
<td>5</td>
<td>5</td>
<td>Policy verified on 2/3/2021</td>
<td>Full points have been awarded. Maintain active Worker’s Compensation policy to continue receiving these points.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has no fee structure or collection policy</td>
<td>0</td>
<td>0</td>
<td>Policy verified on 2/3/2021</td>
<td>Full points have been awarded. Maintain active Worker’s Compensation policy to continue receiving these points.</td>
<td></td>
</tr>
<tr>
<td>Worker’s Compensation Insurance</td>
<td>Utility has a worker’s compensation policy for all employees for the past two years and has a current policy in place</td>
<td>5</td>
<td>5</td>
<td>Policy verified on 2/3/2021</td>
<td>Full points have been awarded. Maintain active Worker’s Compensation policy to continue receiving these points.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has a current worker’s compensation policy in place for all employees</td>
<td>2</td>
<td>2</td>
<td>Policy verified on 2/3/2021</td>
<td>Full points have been awarded. Maintain active Worker’s Compensation policy to continue receiving these points.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has no worker’s compensation policy</td>
<td>0</td>
<td>0</td>
<td>Policy verified on 2/3/2021</td>
<td>Full points have been awarded. Maintain active Worker’s Compensation policy to continue receiving these points.</td>
<td></td>
</tr>
<tr>
<td>Payroll Liability Compliance</td>
<td>Utility has no past due tax liabilities and is current with all tax obligations</td>
<td>5</td>
<td>5</td>
<td>Non-compliant with unemployment insurance contributions</td>
<td>To receive additional points in this category, the utility must either become current on all outstanding tax liabilities, or must enter into a repayment agreement for outstanding tax liability, and remain current on payments.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations</td>
<td>2</td>
<td>2</td>
<td>Non-compliant with unemployment insurance contributions</td>
<td>To receive additional points in this category, the utility must either become current on all outstanding tax liabilities, or must enter into a repayment agreement for outstanding tax liability, and remain current on payments.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed</td>
<td>0</td>
<td>0</td>
<td>Non-compliant with unemployment insurance contributions</td>
<td>To receive additional points in this category, the utility must either become current on all outstanding tax liabilities, or must enter into a repayment agreement for outstanding tax liability, and remain current on payments.</td>
<td></td>
</tr>
</tbody>
</table>

CIP O&M Score 0
SDS O&M Score 7
TOTAL SCORE 42