<table>
<thead>
<tr>
<th>Category</th>
<th>O&amp;M Scoring Criteria</th>
<th>Possible Score</th>
<th>Explanation of Score</th>
<th>How to Improve Score</th>
<th>Contact</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Operator Certification</strong></td>
<td>Utility has more than one operator certified to the level of the water system</td>
<td>10</td>
<td>System Classification: Small Treated Primary Operator: Jerry Okbaok Certification Level: WT 1 Backup Operator: Norman Ongtowasruk Certification Level: Small Treated</td>
<td>Jerry Okbaok needs 3.0 CEUs by 12/31/2022 to renew his WT 1 certificate in 2022. Norman Ongtowasruk needs 1.0 CEU by 12/31/2023 to renewing 2023. Please see the enclosed flyer with more information about certification.</td>
<td>ADEC Operator Certification Program 465-1139</td>
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<tr>
<td></td>
<td>Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution</td>
<td>7</td>
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<tr>
<td></td>
<td>Utility has one or more operators certified at some level in water treatment or distribution</td>
<td>3</td>
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<tr>
<td></td>
<td>Utility has no certified operators.</td>
<td>0</td>
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<tr>
<td><strong>Technical</strong></td>
<td>Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified</td>
<td>25</td>
<td>The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.</td>
<td>Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW.</td>
<td>Richard Kuzuguk NSHC RMW 443-4584</td>
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<tr>
<td></td>
<td>Utility has a written PM plan; performance of PM and record keeping are not consistent</td>
<td>15</td>
<td></td>
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<tr>
<td></td>
<td>Utility has no PM plan or performs no PM.</td>
<td>0</td>
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<tr>
<td><strong>Compliance</strong></td>
<td>Utility had no Monitoring and Reporting violations during the past year</td>
<td>10</td>
<td>The utility had 5 Drinking Water Monitoring and Reporting violations in 2021.</td>
<td>The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner.</td>
<td>Karen Garland ADEC Drinking Water Program 451-2137</td>
</tr>
<tr>
<td></td>
<td>Utility had up to five Monitoring and Reporting violation during the past year</td>
<td>5</td>
<td></td>
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<tr>
<td></td>
<td>Utility had more than five Monitoring and Reporting violation during the last year</td>
<td>0</td>
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<tr>
<td><strong>Utility Management Training</strong></td>
<td>A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years</td>
<td>5</td>
<td>Blanche Okbaok-Garnie attended Personnel Management for Rural Utilities training on 3/14/2016.</td>
<td>To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.</td>
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<tr>
<td><strong>Meetings of the Governing Body</strong></td>
<td>The utility owner’s governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator</td>
<td>5</td>
<td>Council meets on the second Tuesday each month. Council does not meet consistently as prescribed in ordinance. Minutes submitted have reports from utility.</td>
<td>To maintain full points, the governing body must continue to meet according to ordinance and provide RUBA with meeting minutes.</td>
<td>Lena Mathlaw DCRA RUBA Program 443-5457</td>
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<tr>
<td></td>
<td>The utility owner’s governing body meets routinely consistent with the local ordinance/bylaw requirements</td>
<td>2</td>
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<tr>
<td></td>
<td>The utility owner’s governing body does not meet</td>
<td>0</td>
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<tr>
<td><strong>Budget</strong></td>
<td>Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body</td>
<td>15</td>
<td>FY21 Budget passed 12/21/20. Utility/water department revenue does not meet expenses and no subsidy is clearly identified. No monthly financial reports submitted.</td>
<td>Provide RUBA with an amended and adopted budget that identifies the utility subsidy. Provide monthly financial reports and meeting minutes that demonstrate the council is consistently reviewing accurate monthly financial reports.</td>
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<td></td>
<td>Either the Utility or the Utility owner has adopted a budget, the other has not</td>
<td>13</td>
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<tr>
<td></td>
<td>Either the Utility or the Utility owner has adopted a budget, but it is not being implemented</td>
<td>10</td>
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<tr>
<td></td>
<td>Utility owner and the Utility have not adopted a budget</td>
<td>0</td>
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<td><strong>Revenue</strong></td>
<td>Utility is collecting revenue sufficient to cover the Utility’s operating expenses and to contribute to a repair and replacement account</td>
<td>20</td>
<td>Four of six months profit and loss submitted with no revenue to cover expenses. No repair and replacement line item/account.</td>
<td>To receive additional points, the utility needs to provide a collection policy and monthly financial reports in cash basis to RUBA staff that demonstrate sufficient revenue and/or subsidy to cover the utility’s expenses.</td>
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</tr>
<tr>
<td></td>
<td>Utility is collecting revenue sufficient to cover expenses</td>
<td>15</td>
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<td></td>
<td>Utility has a fee schedule and a collection policy that is followed</td>
<td>5</td>
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<td></td>
<td>Utility has no fee structure or collection policy</td>
<td>0</td>
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<td><strong>Worker’s Compensation Insurance</strong></td>
<td>Utility has had a worker’s compensation policy for all employees for the past two years and has a current policy in place</td>
<td>5</td>
<td>Lack of policy verified on 12/31/2020. Policy cancelled 7/1/20 per AMILIA.</td>
<td>The utility must obtain worker’s compensation insurance to receive additional points.</td>
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<tr>
<td></td>
<td>Utility has a current worker’s compensation policy in place for all employees</td>
<td>2</td>
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<tr>
<td></td>
<td>Utility has no worker’s compensation policy</td>
<td>0</td>
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<tr>
<td><strong>Payroll Liability Compliance</strong></td>
<td>Utility has past due tax liabilities and is current with all tax obligations</td>
<td>0</td>
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<tr>
<td></td>
<td>Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations</td>
<td>5</td>
<td>Unable to check IRS tax information due to missing 8821 form. Unemployment insurance contributions tax clearance granted.</td>
<td>Provide RUBA with a completed authorization form so they may confirm compliance with tax liabilities.</td>
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<tr>
<td></td>
<td>Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed</td>
<td>2</td>
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</tbody>
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**CIP O&M Score** 0  **SDS O&M Score** 10  **TOTAL SCORE** 60