<table>
<thead>
<tr>
<th>Category</th>
<th>O&amp;M Scoring Criteria</th>
<th>Possible</th>
<th>Score</th>
<th>Explanation of Score</th>
<th>How to Improve Score</th>
<th>Contact</th>
</tr>
</thead>
<tbody>
<tr>
<td>Operator Certification</td>
<td>Utility has more than one operator certified to the level of the water system</td>
<td>10</td>
<td>7</td>
<td>System Classification: Water Treatment 2</td>
<td>Maintain full points in this category, sending someone to one of the free RUBA trainings each year.</td>
<td>ADEC Operator Certification Program 465-1139</td>
</tr>
<tr>
<td></td>
<td>Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution</td>
<td>7</td>
<td></td>
<td>Primary Operator: William Stuart</td>
<td></td>
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<tr>
<td></td>
<td>Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator</td>
<td>5</td>
<td></td>
<td>Backup Operator: Kyle Mortenson</td>
<td></td>
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</tr>
<tr>
<td></td>
<td>Utility has one or more operators certified at some level in water treatment or distribution</td>
<td>3</td>
<td></td>
<td>William Stuart holds certification at the correct level</td>
<td></td>
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<tr>
<td></td>
<td>Utility has no certified operators</td>
<td>0</td>
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<tr>
<td>Preventive Maintenance Plan</td>
<td>Utility has a written PM plan, PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified</td>
<td>25</td>
<td>25</td>
<td>The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW.</td>
<td>To receive the full points in this category, the operator must have a Preventative Maintenance plan that they follow and the completed plan must be submitted to your assigned RMW each quarter.</td>
<td>Bob White YHCK RMW 543-6428</td>
</tr>
<tr>
<td></td>
<td>Utility has a written PM plan; performance of PM and record keeping are not consistent</td>
<td>15</td>
<td></td>
<td></td>
<td></td>
<td>Leslie Morrison ADEC Drinking Water Program 269-7518</td>
</tr>
<tr>
<td></td>
<td>Utility has no PM plan or performs no PM</td>
<td>0</td>
<td></td>
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<tr>
<td>Compliance</td>
<td>Utility had no Monitoring and Reporting violations during the past year</td>
<td>10</td>
<td>5</td>
<td>The utility had 5 Drinking Water Monitoring and Reporting violations in 2021.</td>
<td>To maintain full points, the governing body must continue to meet according to ordinance and provide RUBA with meeting minutes.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility had up to five Monitoring and Reporting violation during the past year</td>
<td>5</td>
<td></td>
<td></td>
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<tr>
<td></td>
<td>Utility had more than five Monitoring and Reporting violation during the last year</td>
<td>0</td>
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<tr>
<td>Utility Management Training</td>
<td>A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years</td>
<td>5</td>
<td>5</td>
<td>Caleb Sleppy attended Personnel Management for Rural Utilities training on 4/23/2021.</td>
<td>To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year.</td>
<td></td>
</tr>
<tr>
<td>Meetings of the Governing Body</td>
<td>The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator</td>
<td>5</td>
<td>5</td>
<td>Minutes were provided for the following months during this reporting period: December 2020, January, February, March, April and May 2021. The utility’s operator reports were consistently included in the meeting minutes.</td>
<td>To maintain full points, the governing body must continue to meet according to ordinance and provide RUBA with meeting minutes.</td>
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</tr>
<tr>
<td></td>
<td>The utility owner’s governing body meets routinely consistent with the local ordinance/bylaw requirements</td>
<td>2</td>
<td></td>
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<tr>
<td></td>
<td>The utility owner’s governing body does not meet</td>
<td>0</td>
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<tr>
<td>Budget</td>
<td>Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body</td>
<td>15</td>
<td>15</td>
<td>A balanced and realistic budget for FY21 was provided. Monthly financial reports for the utility are noted in meeting minutes.</td>
<td>Full points have been awarded. Continue to provide monthly financial reports to RUBA for verification.</td>
<td>Fred Broereman DCRA RUBA Program 543-3475</td>
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<tr>
<td></td>
<td>Either the Utility or the Utility owner has adopted and implemented a budget, the other has not</td>
<td>13</td>
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<tr>
<td></td>
<td>Utility owner and the Utility have not adopted a budget</td>
<td>10</td>
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<tr>
<td></td>
<td>Utility owner and the Utility have not adopted a budget</td>
<td>0</td>
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<tr>
<td>Revenue</td>
<td>Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account</td>
<td>20</td>
<td>20</td>
<td>Revenues surpass expenses and more than adequate funds available for repair and replacement expenses.</td>
<td>Full points have been awarded. Keep up the great work.</td>
<td></td>
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<tr>
<td></td>
<td>Utility is collecting revenue sufficient to cover expenses</td>
<td>15</td>
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<td></td>
<td>Utility has a fee schedule and a collection policy that is followed</td>
<td>5</td>
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<tr>
<td></td>
<td>Utility has no fee structure or collection policy</td>
<td>0</td>
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<tr>
<td>Worker's Compensation Insurance</td>
<td>Utility has had a worker’s compensation policy for all employees for the past two years and has a current policy in place</td>
<td>5</td>
<td>5</td>
<td>Policy verified on 6/28/2021</td>
<td>Full points have been awarded. Maintain active Worker’s Compensation policy to continue receiving these points.</td>
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<tr>
<td></td>
<td>Utility has a current worker’s compensation policy in place for all employees</td>
<td>2</td>
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<tr>
<td></td>
<td>Utility has no worker’s compensation policy</td>
<td>0</td>
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<tr>
<td>Payroll Liability Compliance</td>
<td>Utility has no past due tax liabilities and is current with all tax obligations</td>
<td>5</td>
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<tr>
<td></td>
<td>Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations</td>
<td>2</td>
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<tr>
<td></td>
<td>Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed</td>
<td>0</td>
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</tbody>
</table>

CIP O&M Score 27  SDS O&M Score 14  TOTAL SCORE 87