### Financial Compensation

- **Category:** Operator Certification
  - Utility has more than one operator certified to the level of the water system: 10
  - Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution: 7
  - Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator: 5
  - Utility has one or more operators certified at some level at water treatment or distribution: 3
  - Utility has no certified operators: 0

- **Category:** Preventive Maintenance Plan
  - Utility has a written PM plan, PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified: 25
  - Utility has a written PM plan; performance of PM and record keeping are not consistent: 15
  - Utility has no PM plan or performs no PM: 0

- **Category:** Compliance
  - Utility had no Monitoring and Reporting violations during the past year: 10
  - Utility had up to five Monitoring and Reporting violations during the past year: 5
  - Utility had more than five Monitoring and Reporting violation during the last year: 0

- **Category:** Utility Management Training
  - A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years: 5

- **Category:** Meetings of the Governing Body
  - The utility owner’s governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator: 5
  - The utility owner’s governing body meets routinely consistent with the local ordinance/bylaw requirements: 2
  - The utility owner’s governing body does not meet: 0

- **Category:** Budget
  - Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body: 15
  - Either the Utility or the Utility owner has adopted and implemented a budget, the other has not: 13
  - Utility owner and the Utility have not adopted a budget: 0

- **Category:** Financial
  - Utility is collecting revenue sufficient to cover the Utility’s operating expenses and to contribute to a repair and replacement account: 20
  - Utility is collecting revenue sufficient to cover expenses: 15
  - Utility has a fee schedule and a collection policy that is followed: 5
  - Utility has no fee structure or collection policy: 0

- **Category:** Worker’s Compensation Insurance
  - Utility has had a worker’s compensation policy for all employees for the past two years and has a current policy in place: 5
  - Utility has a current worker’s compensation policy in place for all employees: 2
  - Utility has no worker’s compensation policy: 0

- **Category:** Payroll Liability Compliance
  - Utility has no past due tax liabilities and is current with all tax obligations: 5
  - Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations: 2
  - Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed: 0

### Total Score
- **CIP O&M Score:** 0
- **SDS O&M Score:** 4
- **Total Score:** 25