### Operator Certification

<table>
<thead>
<tr>
<th>Category</th>
<th>O&amp;M Scoring Criteria</th>
<th>Possible</th>
<th>Score</th>
<th>Explanation of Score</th>
<th>How to Improve Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>Utility</td>
<td>Utility has more than one operator certified to the level of the water system</td>
<td>10</td>
<td>0</td>
<td>System Classification: Water Treatment 1</td>
<td>John White and Charlie Lupie need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification.</td>
</tr>
<tr>
<td></td>
<td>Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution</td>
<td>7</td>
<td>0</td>
<td>Certification Level: Operator holds no current certification</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator</td>
<td>5</td>
<td>0</td>
<td>Backup Operator: Charlie Lupie</td>
<td></td>
</tr>
<tr>
<td>Certification</td>
<td>Utility has one or more operators certified at some level in water treatment or distribution</td>
<td>3</td>
<td>0</td>
<td>Certification Level: Operator holds no current certification</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Utility has no certified operators</td>
<td>0</td>
<td>0</td>
<td>Charlie Lupie and John White hold no certifications.</td>
<td></td>
</tr>
</tbody>
</table>

### Preventive Maintenance Plan

- Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified: 25 points.
- Utility has a written PM plan; performance of PM and record keeping are not consistent: 15 points.
- Utility has no PM plan or performs no PM: 0 points.
- Utility had no Monitoring and Reporting violations during the past year: 10 points.
- Utility had up to five Monitoring and Reporting violation during the past year: 5 points.
- Utility had more than five Monitoring and Reporting violation during the last year: 0 points.

### Compliance

- No one associated with the utility has attended a RUBA training in the past five years: 0 points.
- The utility is not performing the required maintenance or isn’t keeping records of maintenance: 15 points.

### Utility Management Training

- A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course: 5 points.

### Meetings of the Governing Body

- The utility owner’s governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator: 5 points.
- The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements: 2 points.
- The utility owner's governing body does not meet: 0 points.

### Budget

- Either the Utility or the Utility owner has adopted and implemented a budget, the other has not: 13 points.
- The utility owner’s budget was not provided to the utility owner during this reporting period: 0 points.

### Financial

- Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account: 20 points.
- Financials were not provided to RUBA: 0 points.

### Revenue

- Utility is collecting revenue sufficient to cover expenses: 15 points.
- Utility has a fee schedule and a collection policy that is followed: 5 points.
- Utility has no fee structure or collection policy: 0 points.

### Worker's Compensation Insurance

- Utility has a current worker’s compensation policy in place for all employees: 2 points.
- Utility has no worker's compensation policy: 0 points.

### Payroll Liability Compliance

- Utility has no past due tax liabilities and is current with all tax obligations: 5 points.
- The utility has no past due tax liabilities and is current with all tax obligations: 2 points.
- Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations: 0 points.

### CIP O&M Score

- 0

### SDS O&M Score

- 4

### TOTAL SCORE

- 22

### Contacts

- **ADEC Operator Certification Program**
  - 465-1139

- **YKHG RMW**
  - 543-6427

- **ADEC Drinking Water Program**
  - 269-3068

- **Mike White**
  - DCRA RUBA Program
  - 543-3475

- **Shane McIntyre**
  - ADEC Drinking Water Program
  - 543-6427