

Best Practices Score
Pelican
Fall 2023

| Category | | O&M Scoring Criteria | | | Possible | Score | Explanation of Score | How to Improve Score | Contact |
|---|---------------------------------|--|---|--|-------------|-------|---|---|---|
| Technical | Operator Certification | Utility has more than one operator certified to the level of the water system | | | 10 | 5 | System Classification: Water Treatment 2 Primary Operator: <i>Quintin Hafendorfer</i> Certification Level: <i>WT 2</i> Backup Operator: <i>Christopher Bean</i> Certification Level: <i>Operator holds no current certification</i> Quintin Hafendorfer holds the correct level of certification. Christopher Bean and Derek Stewart hold no certifications. | Quintin Hafendorfer needs 3.0 CEUs by 12/31/24 to renew in 2024. Christopher Bean and Derek Stewart need to take and pass the WT 1 exam. Please see the enclosed flyer with more information about certification. | ADEC Operator Certification Program 465-1139 |
| | | Primary operator is certified to the level of the water system and the backup operator holds some level of certification in water treatment or distribution | | | 7 | | | | |
| | | Primary operator is certified to the level of the water system and the backup operator holds no certification or there is no backup operator | | | 5 | | | | |
| | | Utility has one or more operators certified at some level in water treatment or distribution | | | 3 | | | | |
| | | Utility has no certified operators | | | 0 | | | | |
| | Preventive Maintenance Plan | Utility has a written PM plan; PM is performed on schedule; records of completion are submitted on a quarterly basis and have been verified | | | 25 | 25 | The operator is performing important maintenance on a regular basis and keeping records. Each month, the operator is submitting maintenance records to the assigned RMW. | Full points have been awarded in this category. Continue to perform maintenance according to the PM plan and send monthly records to the assigned RMW. | Matthew Russell ADEC RMW 269-3067 |
| | | Utility has a written PM plan; performance of PM and record keeping are not consistent | | | 15 | | | | |
| | | Utility has no PM plan or performs no PM | | | 0 | | | | |
| | Compliance | Utility had no Monitoring and Reporting violations during the past year | | | 10 | 0 | The utility had 27 Drinking Water Monitoring and Reporting violations in 2022. | The Drinking Water Program provides you with an Annual Monitoring Summary with all of the required samples for your water system. All samples and reports must be collected and submitted in a timely manner. | Christina Harris ADEC Drinking Water Program 376-1861 |
| | | Utility had up to five Monitoring and Reporting violation during the past year | | | 5 | | | | |
| | | Utility had more than five Monitoring and Reporting violation during the last year | | | 0 | | | | |
| Managerial | Utility Management Training | A person who holds a position of responsibility for management of the utility has completed a DCRA approved Utility Management course or other utility management training course within the last five years | | | 5 | 5 | Raven Hanson attended QuickBooks for Rural Utilities training on 3/21/2022. | To maintain the full points in this category, consider sending someone to one of the free RUBA trainings each year. | Iura Leahu DCRA RUBA Program 465-4814 |
| | Meetings of the Governing Body | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements and receives a current report from the operator | | | 5 | 5 | Minutes were provided for the following months during this reporting period: December 2022, January, February, and March 2023. The water operator report was consistently included in the meeting minutes. | To maintain full points, the governing body must continue to meet according to local ordinance/bylaw and provide RUBA with meeting minutes. | |
| | | The utility owner's governing body meets routinely consistent with the local ordinance/bylaw requirements | | | 2 | | | | |
| | | The utility owner's governing body does not meet | | | 0 | | | | |
| Financial | Budget | Utility owner and the Utility have each adopted a realistic budget and budget amendments are adopted as needed; Accurate monthly budget reports are prepared and submitted to the governing body | | | 15 | 13 | The utility owner has adopted an overall realistic and balanced budget, but the budget does not separate utility income and expenses in a separate enterprise budget. | Additional points can be earned by creating a separate enterprise account for the utility. This will allow for better tracking of the utility's revenues and expenses. | |
| | | Either the Utility or the Utility owner has adopted and implemented a budget, the other has not | | | 13 | | | | |
| | | Either the Utility or the Utility owner has adopted a budget, but it is not being implemented | | | 10 | | | | |
| | | Utility owner and the Utility have not adopted a budget | | | 0 | | | | |
| | Revenue | Utility is collecting revenue sufficient to cover the Utility's operating expenses and to contribute to a repair and replacement account | | | 20 | 5 | Financial reports show the utility is not collecting sufficient revenue to cover expenses; a fee schedule or collection policy is on file with RUBA. | To receive additional points, the utility needs to provide monthly financial reports to RUBA and demonstrate sufficient revenue and subsidy to cover the utility's expenses. Contact your assigned LGS for advice and assistance. | |
| | | Utility is collecting revenue sufficient to cover expenses | | | 15 | | | | |
| | | Utility has a fee schedule and a collection policy that is followed | | | 5 | | | | |
| | | Utility has no fee structure or collection policy | | | 0 | | | | |
| | Worker's Compensation Insurance | Utility has had a worker's compensation policy for all employees for the past two years and has a current policy in place | | | 5 | 5 | Continuous coverage for the utility owner was confirmed by a Department of Labor and Workforce Development database query on 06/30/23. | Full points have been awarded! The utility owner must maintain an active worker's compensation policy to continue receiving these points. | |
| | | Utility has a current worker's compensation policy in place for all employees | | | 2 | | | | |
| | | Utility has no worker's compensation policy | | | 0 | | | | |
| | Payroll Liability Compliance | Utility has no past due tax liabilities and is current with all tax obligations | | | 5 | 5 | Utility owner has no past due tax liabilities and is current with all tax obligations. | Full points have been awarded. Continue to submit timely reports and payments to maintain these points. | |
| | | Utility owes back taxes, but has a signed payment agreement, is current on that agreement, and is up-to-date with all other tax obligations | | | 2 | | | | |
| Utility is not current with its tax obligations and/or does not have a signed repayment agreement for back taxes owed | | | 0 | | | | | | |
| CIP O&M Score | | 8 | | | TOTAL SCORE | 68 | | | |